

What is Galois Theory?

- symmetry of roots of polynomials, rings of polynomials, field extensions

Can I solve poly by extending  $\mathbb{Q}$  economically?

For quadratics  $x^2 + px + q = 0$ ,  $-\frac{p}{2} \pm \sqrt{\frac{p^2}{4} - q}$   
 - cubics and quartics solved by radicals  
 - can't for quintics

Galois' idea: taking radicals  $\leftrightarrow$  extending fields

Given  $f(x)$  a poly with coeff's in field  $K$  can we find larger field  $L$  s.t.  $f$  factors into linear terms?

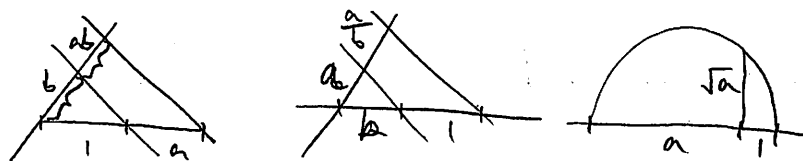
Straightedge / compass constructions

Which regular  $n$ -gons can be constructed?

Start with 2 pts unit distance apart

- ① Can draw circle at constr. pt. w/ radius of constr. len.
- ② Can draw line between 2 constr. pts.
- ③ Intersection of circles & lines in ① and ② is constr. pt.

Given  $1, a, b$  as lengths, we can construct  $a+b$ ,  
 $a-b$ ,  $ab$ ,  $\frac{a}{b}$ ,  $\sqrt{a}$



Thm: length constructable  $\Leftrightarrow$  expressible by rationals using arithmetic & nested square roots (related to field extensions)

## Groups $(G, *)$

set  $G$  w/  $*$  :  $G \times G \rightarrow G$  s.t.

- ① associative
- ②  $\exists$  identity
- ③ each  $g$  has  $g^{-1}$

Usually if  $G$  is abelian; use  $+$  for  $*$ ,  $-g$  for  $g^{-1}$ ,  
 $0$  for id

## Rings $(R, +, \times)$

set  $R$  s.t.

- ①  $(R, +)$  is abelian group
- ②  $\times$  is associative
- ③ distributive  $(a+b)c = ac+bc$
- \*④ (commutative rings):  $\times$  is commutative (sometimes w/ identity)

Ex:  $\mathbb{Z}, \mathbb{Z}/n\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  comm. rings w/ 1

Ex:  $3\mathbb{Z}$  has no identity

Ex:  $\mathbb{H}$  (quaternions) not comm.

We will assume rings are commutative with identity in this class.

Let  $R[x] = \{ \text{polynomials w/ coeff in ring } R \}$   
 $= \{ a_n x^n + \dots + a_1 x + a_0 \mid a_i \in R \}$

Note:  $R$  embeds in  $R[x]$  as a subring.

Let  $R[x_1, x_2, \dots, x_n] = \text{poly ring over } x_1, \dots, x_n$   
 $= (R[x_1, \dots, x_{n-1}])[x_n]$

Ring Homomorphism  $\varphi: R \rightarrow S$

satisfies  $\varphi(a+b) = \varphi(a) + \varphi(b)$

$$\varphi(ab) = \varphi(a)\varphi(b)$$

$$\forall a, b \in R$$

(in rings w/ identity)  $\varphi(1_R) = 1_S$

$\varphi$  is isomorphism if also bijective

$\ker \varphi = \varphi^{-1}(0)$  is an ideal (but not necessarily a subring if it is in a ring w/ 1)

$J \subset R$  is ideal of  $R$  if nonempty and

$$(i) r, s \in J \Rightarrow r+s \in J$$

$$(ii) r \in R, s \in J \Rightarrow rs \in J$$

$$R/J = \{ \text{cosets of } J \text{ in } (R, +) \}$$

First Isomorphism Theorem for Rings

If  $\varphi: R \rightarrow S$  homomorphism w/  $\ker \varphi = J$  then  $R/J \cong \varphi(R)$   
(ideals correspond to kernels of homomorphisms)

Def: Call  $r \in R$  a unit if  $r$  has a multiplicative inverse  $r^{-1}$ .

A field is a commutative ring w/ 1 where every nonzero element is a unit.

$R[x]$  has the same units as  $R$  if  $R$  is an integral domain.

Def:  $R$  comm w/ 1.  $R$  is integral domain if  $\forall r, s \in R, rs=0 \Rightarrow r=0$  or  $s=0$ . ( $R$  has no zero-divisors)

Integral Domains are like integers:

(i) cancellation law: If  $a \neq 0$ , then  $ab = ac \Rightarrow b = c$

(ii) can construct field of fractions, just like  $\mathbb{Q}$  formed from  $\mathbb{Z}$

Field of Fractions

If  $R$  is ID, let  $R^* = R - \{0\}$ .

Define relation on  $R \times R^* = (r_1, d_1) \sim (r_2, d_2)$  if  $r_1 d_2 = r_2 d_1$ .

We can check  $\sim$  is an equivalence relation

(transitive, symmetric, reflexive); and define  $+$ ,  $\times$ , and check it is a field.

Given an ID, we have a way to get a larger field

Ex: ID	$\mathbb{Z}$	$\mathbb{Q}$	$\mathbb{Z}/3\mathbb{Z}$	$R[x]$
field of fractions	$\mathbb{Q}$	$\mathbb{Q}$	$\mathbb{Z}/3\mathbb{Z}$	rational functions

A finite integral domain must be a field

Given a ring  $R$ , we can also get a field by "collapsing" it (mod by ideal)

An ideal  $J$  is proper if  $J \neq R$ . A proper ideal is maximal if  $J$  and  $R$  are the only ideals containing  $J$ .

field  $\subseteq$  ED  $\subseteq$  PID  $\subseteq$  UFD  $\subseteq$  ID

Def: Ideal  $J$  prime iff  $J \neq R$  &  $\forall a, b \in J \Rightarrow a \in J$  or  $b \in J$

Ex: in  $\mathbb{Z}[x]$ ,  $J = (x)$  is prime ideal

Thm:  $P$  prime ideal in  $R \Leftrightarrow R/P$  is an ID

Thm:  $M$  maximal ideal in  $R \Leftrightarrow R/M$  is a field



Proof: ( $\Rightarrow$ ) Try to show  $a+M$  has inverse, where  $a \notin M$ .  
 Consider ideal  $J$  gen by  $M$  and  $a$ . So,  $J=R$ , so  
 $1 \in J$  and  $1 = ab + m$ , for some  $w \in M$ ,  $b \in R$ . We  
 claim  $(a+M)(b+M) = 1+M$ . We see that  
 $(a+M)(b+M) = ab+M = 1-m+M = 1+M$ .  
 ( $\Leftarrow$ ) take  $a \notin M$ , wts ideal  $J$  gen by  $(a, M) = R$   
 and  $1 \in J$ .

Col: maximal ideals are prime ideals

Thm:  $R$  field  $\Leftrightarrow$  only ideals of  $R$  are  $(0)$  &  $R$

Proof:  $\{0\}$  is a maximal ideal by previous thm

Ideal structure tells us how far from a field  $R$  is

Ex:  $R[x]/(x^2+1) \cong \mathbb{C}$  where  $(x^2+1)$  is maximal and  
 $\mathbb{C}$  is a field

Isomorphism  $R[x]/(x^2+1) \rightarrow \mathbb{C}$  by  $1 \mapsto 1$   $x \mapsto i$   
 Homomorphism (then  $1^{\text{st}}$  iso thm)  $R[x] \rightarrow \mathbb{C}$  by  $1 \mapsto 1$   $x \mapsto i$

$(A) :=$  ideal gen by set  $A$   
 if  $A$  finite,  $(A)$  is finitely generated  
 if  $A$  is 1 element,  $(A)$  is principal

in  $\mathbb{Z}$ , every ideal is principal

Def: A principal ideal domain is ID where every ideal  
 is principal

In PID, every non-zero prime ideal is maximal

In PID (more generally UFDs), nonzero element  $p$ ,  
 $(p)$  is prime  $\iff p$  is irreducible (can't be factored  
 into smaller non-units)

field  $\subseteq$  ED  $\subseteq$  PID  $\subseteq$  UFD  $\subseteq$  ID  $\subseteq$  ring  
 $\mathbb{C}$        $\mathbb{Z}$        $\mathbb{Z}\left[\frac{1+i\sqrt{19}}{2}\right]$        $\mathbb{Z}[x]$        $\mathbb{Z}[i\sqrt{5}]$       max  $\Rightarrow$  prime  
nonzero prime  
ideals  $\Rightarrow$  maximal      gcd      finite  $\Rightarrow$  field  
 prime  $\iff$  irreducible      prime  $\Rightarrow$  irred.

$R$  field  $\iff R[x]$  PID,  $R$  UFD  $\Rightarrow R[x]$  UFD

In  $\mathbb{Z}$ , ideals =  $(6) \subset (3)$  (number theoretic properties reflected in ring  
 structure, containment  $\iff$  divisors)

In PID, prime  $\iff$  maximal

Proof = Suppose  $P$  is prime ideal  $(p) \subseteq R$ . Say  $(p) \subset (m)$   
 some ideal so  $p = bm$  for some  $b \in R$ . Since  $p \in (p)$ ,  
 $b \in (p)$  or  $m \in (p)$ . If  $m \in (p)$  then  $(m) \subset (p)$  so  $(m) = (p)$ .  
 If  $b \in (p)$ , then  $b = ap$  for some  $a \in R$  so  $p = apm$  and  
 $am = 1$  so  $m$  is a unit and  $(m) = R$ .

Def = A commutative ring is Noetherian if there  
 is no  $\infty$  ascending chain of ideals in  $R$  (i.e. if  
 $I_1 \subseteq I_2 \subseteq \dots$  then  $\exists n$  st  $I_k = I_n \forall k \geq n$ )

Def = Artinian  $\iff$  descending chain condition

Thm: PID  $\iff$  Noetherian.

Proof: Given chain  $I_n$ ,  $I = \bigcup_{n=1}^{\infty} I_n$  is an ideal, so  $I = (a)$   
 then  $a \in I_n$  for some  $n$  so  $I = (a) \subseteq I_k, k \geq n$

In a Noetherian ring, all ideals are finitely generated

Every element in a UFD can be factored into irreducibles, unique up to associates.

$a, b$  associates  $\iff a = ub$  for some unit  $u$

Thm.  $R$  is ID,  $p(x), q(x) \in R[x]$  and nonzero, then

a)  $\deg pq = \deg p + \deg q$  (look at leading terms)

b)  $R[x]$  is ID

c)  $R[x]$  units are just units of  $R$

$R$  PID  $\not\Rightarrow R[x]$  PID

Thm:  $F$  field  $\Rightarrow F[x]$  is ED

Proof idea: polynomial division, Given  $a(x), b(x) \in F[x]$ ,  $\exists$  unique  $q(x), r(x)$  st  $a(x) = q(x)b(x) + r(x)$  where  $r(x) = 0$  or  $\deg r < \deg b$ . Field property used when scaling  $b(x)$  to cancel leading  $a(x)$  term.

Uniqueness follows:  $a = qb + r = q'b + r' \Rightarrow r(x) - r'(x) = b(x)[q'(x) - q(x)]$  but  $\deg(r - r') < \deg b + \deg(q' - q)$  so both sides are 0.

Thm: ED  $\Rightarrow$  PID

Idea: Ideal gen by its norm-minimal element  $d$ .

Euclidean alg produces gcd

When can polynomials be factored?

$x^2 + 1$  not reducible in  $\mathbb{Z}[x], \mathbb{Q}[x], \mathbb{R}[x]$

but is reducible in  $\mathbb{C}[x]$   $(x-i)(x+i)$  and  $\mathbb{Z}/2\mathbb{Z}[x]$   $(x+1)^2$

$R[x]$  PID  $\Rightarrow R$  field

Proof:  $R \subset R[x]$  is an int dom b/c  $R[x]$  is PID.  $(x)$  is prime b/c  $R[x]/(x) \cong R$  is ID so  $(x)$  is max'l so  $R[x]/(x) \cong R$  is field.

Thm: In a UFD, nonzero prime  $\Leftrightarrow$  irreducible

Proof: ( $\Rightarrow$ ) true in any integral domain. Say  $p$  prime.

If  $p=ab$  then  $p|a$  or  $p|b$ . WLOG  $p|a$ , then  $a=pc$  and  $p=pcb$  so  $1=cb$  and  $b$  is a unit.

( $\Leftarrow$ ) Suppose irred.  $p$  divides  $ab$ . Then  $ab=pc$  for some  $c$ . Since we are in a UFD,  $ab=(p_1 \dots p_k) \cdot p_2 \dots p_k$  where  $u$  unit. Note that  $a, b$  also factor uniquely, so  $p$  must associate to some factor of  $a$  or  $b$ . If  $a$  then  $p|a$ .

Ex:  $x^2-5x+6$  in  $\mathbb{Z}[x]$  reducible? Yes.  $(x-3)(x-2)$

It should also be reducible mod 4  $(x+1)(x+2)$

Note: Exists natural projection  $\varphi: \mathbb{Z}[x] \rightarrow \mathbb{Z}/4\mathbb{Z}[x]$ ,  $a(x) \mapsto \overline{a(x)}$

Thm:  $I$  ideal in  $R$ . Let  $(I) =$  ideal gen by  $I$  in  $R[x] = I[x]$   
Then  $R[x]/(I) \cong R/I[x]$ . Also if  $I$  prime in  $R$ , then  $(I)$  is prime in  $R[x]$

Proof: Use homomorphism  $\varphi: R[x] \rightarrow \frac{R}{I}[x]$ . Notice  $\ker \varphi = (I)$ . This shows the first part.  $I$  prime in  $R \Rightarrow R/I$  is ID  $\Rightarrow \frac{R}{I}[x]$  is ID  $\Rightarrow R[x]/(I)$  is ID  $\Rightarrow (I)$  is prime.

Given  $p(x) \in R[x]$ , how does reducibility in  $R[x]$  relate to reducibility in  $F[x]$  where  $F =$  field of fractions of  $R$

Gauss' Lemma

$R$  is a UFD with field of fractions  $F$ . Say  $p(x) \in R[x]$ . If  $p(x)$  is reducible in  $F[x]$  then  $p(x)$  is reducible in  $R[x]$

In fact,  $p(x) = A(x)B(x)$  where  $A(x), B(x) \in F[x]$  are nonconst polys  $\Rightarrow p(x) = a(x)b(x)$  in  $R[x]$  where  $rA(x) = a(x)$ ,  $sB(x) = b(x)$  for some  $r, s \neq 0$  in  $F$ .

Note: converse not true  $3x = 3 \cdot x$  reducible in  $\mathbb{Z}[x]$  but not in  $\mathbb{Q}[x]$

Proof: Given  $p(x) = A(x)B(x)$  where coeffs are fractions (quotients of elements in  $R$ ) Then,  $d \cdot p(x) = a'(x)b'(x)$  where  $d$  is the common denominator and coeffs are in  $R$ . If  $d$  is unit, set  $a(x) = \frac{1}{d}a'(x)$  and  $b(x) = b'(x)$ . If  $d$  is not a unit, it can be factored bc UFD so  $d = p_1 \dots p_n$  product of irreducibles. If  $p_i$  irred  $\Rightarrow p_i$  prime  $\Rightarrow (p_i)$  prime in  $R[x]$   $\Rightarrow R[x]/(p_i) \cong R/p_i$ ,  $R[x]$  is ID. We reduce  $d \cdot p(x) = a'(x)b'(x)$  mod  $p_i$  to get  $0 = \overline{a'(x)} \overline{b'(x)}$ . Since we are in ID, WLOG,  $\overline{a'(x)} = 0 \Rightarrow$  all coeffs of  $a'(x)$  are divisible by  $p_i \Rightarrow \frac{1}{p_i}a'(x)$  has coeffs in  $R$ . Do the same for each  $p_k$ , can associate to either  $a'(x)$  or  $b'(x)$ .

Corollary: If gcd of coeffs of  $p(x)$  is 1,  $p(x)$  irred in  $F[x] \Leftrightarrow p(x)$  irred. in  $R[x]$ . In particular if  $p(x)$  is monic, or the leading coeff is 1, this condition is satisfied.

Proof: ( $\Leftarrow$ ) by Gauss' lemma  
 ( $\Rightarrow$ )  $p(x)$  red. in  $R[x] \Rightarrow p(x) = a(x)b(x)$ . gcd condition means neither are nonconstant polynomials so reducible in  $F[x]$

Thm:  $R$  UFD  $\Leftrightarrow R[x]$  UFD

Proof: ( $\Leftarrow$ ) easy  
 ( $\Rightarrow$ ) Say  $p(x) \in R[x]$ . Let  $d = \text{gcd}$  of coeff of  $p(x)$ . Then,  $p(x) = d \cdot p'(x)$ . Since  $d$  can be uniquely factored, enough to show  $p'(x)$  factors uniquely.  $p(x)$  factors in  $R[x] \subseteq F[x]$ . Say  $p(x) = A(x)B(x)$ . Gauss' lemma pf  $\Rightarrow \exists$  factorization of  $p(x)$  in  $R[x]$  whose factors are  $F$ -multiples of  $A(x), B(x)$ . Since gcd of



coeffs of  $p(x) = 1$ , then gcd coeffs of  $a(x), b(x)$  are too. By previous cor., each must be irred. in  $R[x]$  so  $p(x)$  factors. Now we prove uniqueness. Say  $p(x) = q_1(x) \dots q_r(x) = q'_1(x) \dots q'_s(x)$  in  $R[x]$ . gcd cond on  $p$ . By cor. each  $q_i(x), q'_i(x)$  is irred in  $F[x]$ . UFD in  $F[x] \Rightarrow q_i(x)$  associates to  $q'_i(x)$  in  $F[x]$ . Suppose  $q_i(x) = \frac{a}{b} q'_i(x) \Rightarrow b q_i(x) = a q'_i(x)$  so  $a = ub$  for some unit  $u$ , so  $q_i(x) = a q'_i(x)$  are associates.

How to test for irreducibility of a polynomial?

- Look for linear factors

Thm:  $p(x) \in F[x]$ .  $p(x)$  has factor of deg 1  $\Leftrightarrow p(x)$  has root in  $F$

Proof:  $(\Rightarrow)$  If  $p(x) = q(x)(ax+b)$ , then  $p(-\frac{b}{a}) = 0$  and  $-\frac{b}{a}$  is a root

$(\Leftarrow)$  If  $p(\alpha) = 0$ , then consider  $p(x) = q(x)(x-\alpha) + r$  by division algorithm where  $\deg r < 1$ , i.e. constant. See for  $x = \alpha$ ,  $p(\alpha) = 0 + r = 0$  so  $r = 0$ .

Cor: A deg 2 or 3 poly over  $F$  is reducible  $\Leftrightarrow$  it has a root in  $F$

Proof: If low deg, has linear factor.

Root Possibility

Thm: Say  $p(x) = a_n x^n + \dots + a_0$  in  $R[x]$  UFD. If  $\frac{r}{s}$  is a root of  $p(x)$  in  $F$  and in lowest terms, then  $r|a_0$  and  $s|a_n$ .

Cor: If  $p(x)$  is monic ( $a_n = 1$ ), and  $\forall$  divisors  $d$  of  $a_0$ ,  $p(d) \neq 0$ , then  $p(x)$  has no roots

Proof:  $s^n \cdot p(\frac{r}{s}) = a_n r^n + a_{n-1} r^{n-1} s + \dots + a_0 s^n$  so  $s|a_n r^n$ . But  $s \nmid r$  because it is in lowest terms so  $s|a_n$ . Similarly,  $r|a_0 s^n$  which implies  $r|a_0$ .



Ex:  $x^3 - 5x + 7$  irred in  $\mathbb{Z}[x]$ ?  $\stackrel{\text{gcd coeff}}{\iff}$  irred in  $\mathbb{Q}[x]$

If irred, must have linear factor, so must have root in  $\mathbb{Q}$ . Possibilities:  $\pm 1, \pm 7$ . Check =

$$7^3 + 35 + 7 \neq 0 \quad (-7)^3 - 35 + 7 \neq 0 \quad 1^3 - 5 + 7 \neq 0 \quad (-1)^3 + 5 + 7 \neq 0$$

$x^3 - 5x + 7$  irred

Ex:  $x^3 + x + 1$  in  $\mathbb{Z}_2[x]$  is irred. b/c low degree, check 0, 1.  
See that  $p(0) = 1, p(1) = 1^3 + 1 + 1 = 1$

Ex:  $x^4 + x^2 + 1$  in  $\mathbb{Z}_2[x]$   $p(0) = 1, p(1) = 1$  but  $p(x) = (x^2 + x + 1)^2$

Reduction Mod I

Thm:  $I$  proper ideal in ID  $R$ .  $p(x)$  nonconstant monic in  $R[x]$ . Let  $\varphi: R[x] \rightarrow R/I[x]$  the reduction homomorphism mod  $I$ . If  $\varphi(p(x))$  cannot be factored in  $R/I[x]$ , then  $p(x)$  is irred in  $R[x]$ .

Proof idea: If  $p(x) = a(x)b(x)$  in  $R[x]$  then  $p(x) = \overline{a(x)}\overline{b(x)}$  in  $R/I[x]$ .  $a(x), b(x)$  leading coeffs are units so can take to be monic.

Ex.  $x^3 + x + 1$  irred in  $\mathbb{Z}[x]$  b/c irred in  $\mathbb{Z}_2[x]$

Ex.  $x^3 - x^2 + x + 1$  irred in  $\mathbb{Z}[x]$ ? Consider  $\mathbb{Z}_3[x]$ . In  $\mathbb{Z}_3[x]$ ,  $p(0) = 1, p(1) = 2, p(2) = 1$ , so  $p(x)$  irred in  $\mathbb{Z}_3[x]$  and  $\mathbb{Z}[x]$

Ex.  $x^4 - 72x + 4$  irred in  $\mathbb{Z}[x]$  but red mod every integer

Cor: (Eisenstein Criterion)  $P$  prime ideal in  $R$ .  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$  poly in  $R[x]$ ,  $n \geq 1$ . Then if  $a_0, \dots, a_{n-1} \in P$  but  $a_0 \notin P^2$  then  $f(x)$  irred in  $R[x]$

Cor: If  $p$  prime in  $\mathbb{Z}$ ,  $p \mid a_i, p^2 \nmid a_0$ , then  $f(x)$  irred in  $\mathbb{Z}[x]$  and  $\mathbb{Q}[x]$



Ex:  $f(x) = x^5 - 3x^3 + 15x - 21$  is irred (use  $p=3$ )

Ex:  $f(x) = x^n - a$ , where  $a$  prime, then irred (use  $p=a$ )

Ex:  $f(x) = x^4 + 1$ , look at  $g(x) = f(x+1) = (x+1)^4 + 1 = x^4 + 4x^3 + 6x^2 + 4x + 2$   
(use  $p=2$ ) so irred

Proof: If  $f(x)$  reducible,  $f(x) = a(x)b(x)$ ,  $a(x), b(x)$  are monic.  
See mod  $P$ ,  $f(x) = \overline{a(x)}\overline{b(x)} = x^n$  in  $R/P[x]$  and  
 $\overline{a(x)} = x^r + a_{r-1}x^{r-1} + \dots + a_0$ ,  $\overline{b(x)} = x^s + \dots + a_0$ . We claim  
all  $a_i, b_i = 0$ . Let  $i$  be smallest index st  $a_i \neq 0$  in  $R/P$   
and  $j$  smallest index st  $b_j \neq 0$ . Then, product has  
nonzero coeffs for  $x^{i+j}$  but  $i+j \neq n$ . So product  $\neq x^n$

Let  $f(x) = x^2 + x + 1 \in \mathbb{Z}/2\mathbb{Z}[x]$ . Since  $f(0) = f(1) = 0$ ,  $f(x)$  is  
irreducible. Let  $F = \mathbb{Z}/2\mathbb{Z}[x] / (x^2 + x + 1)$  be a field. The  
elements are  $\{0, 1, x, x+1\}$ . This field extends  $\mathbb{Z}/2\mathbb{Z}$ . This

+	0	1	x	x+1
0	0	1	x	x+1
1	1	0	x+1	x
x	x	x+1	0	1
x+1	x+1	x	1	0

·	0	1	x	x+1
0	0	0	0	0
1	0	1	x	x+1
x	0	x	x+1	1
x+1	0	x+1	1	x

$f(x)$  has no root in  $\mathbb{Z}/2\mathbb{Z}$ , but it  
does in the extension, namely  
 $x$  and  $x+1$ .

Say  $F$  is a field. Recall  $\text{char}(F) =$  smallest  $n$  st  
 $1+1+\dots+1=0$  where  $1$  is added to itself  $n$  times. It  
equals  $0$  if no such  $n$  exists. Note that  $\text{char}(F)$   
is always prime<sup>or zero</sup> or it would have zero divisors. The  
prime subfield of  $F$  is generated by  $1_F$ , and is either  $\mathbb{Q}$  or  
 $\mathbb{Z}/p\mathbb{Z}$ .

If field  $K$  contains  $F$ , call  $K$  an extension (field) of  $F$ . We say  
" $K$  over  $F$ " and write  $K/F$  or  $\frac{K}{F}$ .  $F$  is called the  
base field of the extension.

Notice:  $K$  is a vector space over  $F$ , e.g.  $\mathbb{R}/\mathbb{Q}$ ,  $\mathbb{C}/\mathbb{R}$ ,  $\mathbb{Q}(F)/\mathbb{Q}$



Ex:  $\mathbb{Q}(\sqrt{5}) = \{a + b\sqrt{5} \mid a, b \in \mathbb{Q}\}$  has dimension 2 as a vector space over  $\mathbb{Q}$ .

Ex:  $\mathbb{Q}(s^{1/3}) = \{a + b \cdot s^{1/3} + c \cdot s^{2/3} \mid a, b, c \in \mathbb{Q}\}$  (3 dimensions)

Def: The degree or index of  $K/F$  is the dimension of  $K$  as a vector space over  $F$ . We write  $[K:F]$ . If  $[K:F]$  finite, we say extension is finite (otherwise infinite)

Ex:  $[\mathbb{C}:\mathbb{R}] = 2$ ,  $[\mathbb{Q}(s^{1/3}):\mathbb{Q}] = 3$

Suppose  $p(x) \in F[x]$  doesn't have root in  $F$ . Does it have a root in some extension? Yes!

Thm [Kronecker, 1887] = Say  $F$  field,  $p(x)$  irred in  $F[x]$ . Exists extension  $K$  of  $F$  in which  $p(x)$  has a root.

Proof:  $K = F[x]/(p(x))$  is a field. Let  $\pi: F[x] \rightarrow F[x]/(p(x))$  be the map to the quotient. Notice  $\pi|_F: F \rightarrow K$  not 0 b/c  $\pi(1_F) = 1_K$ . So it must be 1-to-1 b/c  $\ker(\pi)|_F$  is ideal & fields have only trivial ideals. Identify  $F$  with  $\pi(F)$ , then  $F$  is a subfield of  $K$ . Let  $\bar{x} = \pi(x)$ . Then  $p(\bar{x}) = p(\pi(x)) = \pi(p(x))$  b/c  $\pi$  is homomorphism  $= \overline{p(x)} = 0$ .

Modding out by irred is a great way to construct field extensions.

Ex:  $\mathbb{Q}[x]/(x-3) \cong \mathbb{Q}$

Ex:  $p(x) \in F[x]$  deg  $n$  & irred. If  $K = F[x]/(p(x))$  then  $[K:F] = n$ , and basis for  $K/F$  is  $1, \bar{x}, \bar{x}^2, \dots, \bar{x}^{n-1}$ , and  $K \cong \{a_{n-1}x^{n-1} + \dots + a_0 \mid a_i \in F\}$ . The field structure depends on  $p(x)$ .



Def:  $K/F$  extension,  $\alpha \in K$ . Then  $F(\alpha) =$  unique minimal subfield of  $K$  containing  $F$  and  $\alpha$ . (exists bc  $\cap$  fields is field).  $F(\alpha, \beta, \dots)$  is similar defined but containing  $F, \alpha, \beta, \dots$ . It can be thought of as field gen by  $\alpha, \beta, \dots$ . If it's generated by one element  $F(\alpha)$ , it is a simple extension and  $\alpha$  is the primitive element.

Thm:  $F$  field,  $p(x)$  irred in  $F[x]$ . If  $K/F$  contains a root  $\alpha$  of  $p(x)$ . Let  $F(\alpha) =$  subfield gen by  $\alpha$ . Then  $F(\alpha) \cong F[x]/(p(x))$ .

Proof: Let  $\varphi: F[x] \rightarrow F(\alpha) \subseteq K$  st  $x \mapsto \alpha$  (the evaluation map). Since  $\varphi(p(x)) = 0$ , there's induced homomorphism  $\varphi_*: F[x]/(p(x)) \rightarrow F(\alpha)$  that sends  $a(x)p(x) + r(x) \mapsto r(\alpha)$ . Note  $\varphi_*$  is a field homomorphism, nonzero, so injective. But  $\varphi_*$  is surjective bc  $\text{im}(\varphi_*)$  is subfield of  $K$  containing  $F$  &  $\alpha$  so  $\varphi_*$  is the desired isomorphism.

Ex: Roots of  $x^3 - 2$  in  $\mathbb{Q}[x]$ :  $w_1$  (real),  $w_2, w_3$  (complex)  
 $\mathbb{Q}(w_1) \cong \mathbb{Q}(w_2) \cong \mathbb{Q}(w_3)$  where  $\mathbb{Q}(w_1)$  subfield of  $\mathbb{R}$  and  $\mathbb{Q}(w_2)$  and  $\mathbb{Q}(w_3)$  subfields of  $\mathbb{C}$

Recall:  $F$  field,  $p(x)$  irred poly in  $F[x]$ , if  $K/F$  contains root  $\alpha$  of  $p(x)$ , then  $F(\alpha) \cong F[x]/(p(x))$

Ex:  $p(x) = x^2 - 5$   $F = \mathbb{Q}$ ,  $K = \mathbb{R} \Rightarrow \mathbb{Q}(-\sqrt{5}) \cong \mathbb{Q}(\sqrt{5}) \cong \mathbb{Q}[x]/(x^2 - 5)$   
 $\varphi: \mathbb{Q}(-\sqrt{5}) \rightarrow \mathbb{Q}(\sqrt{5})$ ,  $a - b\sqrt{5} \mapsto a + b\sqrt{5}$

Ex:  $p(x) = x^3 - 1$  (not irred in  $\mathbb{Q}[x]$ ) roots  $1, w_2, w_3$   
 $\mathbb{Q}(1) \cong \mathbb{Q}$   $\mathbb{Q}(w_2) \cong \mathbb{Q}(w_3)$   $x^3 - 1 = (x-1)(x^2 + x + 1)$



Thm: Say  $\varphi: F \xrightarrow{\cong} F'$  isomorphism of fields.  $\exists$  ring homo.

$\varphi: F[x] \rightarrow F'[x]$ , if irred  $p(x) \in F[x]$ , let  $p'(x) = \varphi(p(x))$  where we replace  $F$  coeffs by  $F'$  coeffs, then  $\exists$  isom.  $\sigma: F(\alpha) \xrightarrow{\cong} F'(\beta)$  that maps  $\alpha \mapsto \beta$  and extends  $\varphi$ , where  $\alpha$  root  $p(x)$  in ext. of  $F$  and  $\beta$  root  $p'(x)$  in ext. of  $F'$

Proof:  $(p(x))$  max'l in  $F[x] \xrightarrow{\varphi} (p'(x))$  max'l in  $F'[x]$ . Ideal structure preserved by isom.  $F(\alpha) \cong F[x]/(p(x)) \cong F'[x]/(p'(x)) \cong F'(\beta)$

Def:  $\alpha$  is algebraic over  $F$  if  $\alpha$  is the root of some nonzero polynomial  $f(x) \in F[x]$ .

Def: An extension  $K/F$  is algebraic if every element of  $K$  is algebraic over  $F$

Ex:  $\sqrt{6}$  is algebraic over  $\mathbb{Q}$  (root of  $x^2 - 6$ )

Thm = If  $\alpha$  is alg/ $F$  and  $L/F$ , then  $\alpha$  alg/ $L$   
 Pf =  $f(x) \in F[x] \subseteq L[x]$

Ex:  $\sqrt{6}$  alg/ $\mathbb{Q} \Rightarrow \sqrt{6}$  alg/ $\mathbb{Q}(\sqrt{2})$

If  $\alpha \in K/F$  &  $\alpha$  alg/ $F$ , then consider  $\varphi_\alpha: F[x] \rightarrow K, f(x) \mapsto f(\alpha)$  (evaluation map) [Note:  $\varphi_\alpha$  not 1-1  $\Leftrightarrow \alpha$  is alg/ $F$ ] So  $\alpha$  alg/ $F \Rightarrow \ker \varphi_\alpha$  is nonzero ideal in  $F[x]$  so  $\ker \varphi_\alpha = (m_{\alpha, F})$ , where  $m_{\alpha, F}$  called minimal polynomial.  $m_{\alpha, F}$  unique up to units, so if you require  $m_{\alpha, F}$  monic, it is unique. Also  $m_{\alpha, F}$  is irred in  $F[x]$  else  $m_{\alpha, F}(x) = a(x)b(x)$ . Plug  $\alpha$ ,  $m_{\alpha, F}(\alpha) = 0 = a(\alpha)b(\alpha)$ . wlog  $a(\alpha) = 0$  so  $a$  has root  $\alpha$  so  $a(x) = c(x) \cdot m_{\alpha, F}(x) \Rightarrow b(x)c(x) = 1$  so  $b$  is unit, a contradiction.



This shows thm:  $\alpha$  alg/F,  $\exists$  unique monic irred poly  $m_{\alpha, F}(x) \in F[x]$  with  $\alpha$  as root. Therefore, any  $f(x) \in F[x]$  has  $\alpha$  as root  $\Leftrightarrow m_{\alpha, F}(x) \mid f(x)$  in  $F[x]$

Ex:  $x^3 - 1$  has roots  $1, \omega_2, \omega_3$ , min poly  $(x-1)$  and  $(x^2+x+1)$

Def: The degree of  $\alpha$  is degree of min poly

Ex:  $\sqrt{6}$  alg/ $\mathbb{Q} \Rightarrow m_{\alpha, \mathbb{Q}}(x) = x^2 - 6 \Rightarrow \deg \alpha = 2$

Cor: If  $L/F$  and  $\alpha$  alg/F then  $m_{\alpha, L}(x) \mid m_{\alpha, F}(x)$  in  $L[x]$

Ex:  $\sqrt{6}$  alg/ $\mathbb{Q} \Rightarrow \sqrt{6}$  alg/ $\mathbb{Q}(\sqrt{6})$ ,  $m_{\sqrt{6}, \mathbb{Q}} = x^2 - 6$ ,  $m_{\sqrt{6}, \mathbb{Q}(\sqrt{6})} = x - \sqrt{6}$ ,  $m_{\sqrt{6}, \mathbb{Q}(\sqrt{6})} \mid m_{\sqrt{6}, \mathbb{Q}}$

Prop:  $\alpha$  alg/F, then  $F(\alpha) \cong F[x]/(m_{\alpha}(x))$  and  $\deg \alpha = [F(\alpha):F]$

Proof:  $m_{\alpha}$  irred & has  $\alpha$  as root. Use previous thms.

Prop:  $\alpha$  alg/F  $\Leftrightarrow F(\alpha)/F$  is finite extension. In fact,  $\alpha$  satisfies poly deg  $n \Rightarrow [F(\alpha):F] \leq n$  and  $\alpha \in K/F$ ,  $[K:F] = n \Rightarrow \deg \alpha \leq n$

Proof:  $(\Rightarrow)$   $\alpha$  alg/F  $\Rightarrow [F(\alpha):F] = \deg \alpha = \deg m_{\alpha} \leq n$  because  $m_{\alpha}$  divides poly that made  $\alpha$  algebraic.

$(\Leftarrow)$  Say  $[K:F] = n \Rightarrow 1, \alpha, \alpha^2, \dots, \alpha^n$  must be linearly independent  $\Rightarrow \exists$  coeffs  $b_i$  not all 0 st  $b_0 + b_1\alpha + \dots + b_n\alpha^n = 0 \Rightarrow \alpha$  root of poly

Cor:  $K/F$  is finite  $\Rightarrow K/F$  is algebraic

Pf:  $\forall \alpha \in K$ ,  $F(\alpha)$  subfield of  $K \Rightarrow [F(\alpha):F] \leq [K:F]$  so  $[F(\alpha):F]$  finite  $\Rightarrow \alpha$  algebraic

Note: converse is not true:  $\overline{\mathbb{Q}} = \{\text{all elts of } \mathbb{C} \text{ alg}/\mathbb{Q}\}$  (the algebraic #'s) has elts of all degrees:  $\sqrt{2}, \sqrt[3]{2}, \sqrt[4]{2}, \dots$



extension degrees say a lot!

Thm: (Tower Law)  $F \subseteq K \subseteq L$  fields, then  $[L:F] = [L:K][K:F]$   
(if one side infinite, other is infinite)

Proof idea: If  $L/K$  has basis  $\alpha_1, \dots, \alpha_m$  and  $K/F$  has basis  $\beta_1, \dots, \beta_n$ , then  $\{\alpha_i \beta_j\}$  are basis of  $L/F$ , size  $mn$ .

Recall: Extension  $K/F$ , degree is  $\dim K$  as vector space over  $F$ .  
If  $\alpha$  is algebraic,  $F(\alpha)/F$  extension, has  $\deg = \deg m_{\alpha, F}$  (min poly)  
Also,  $F(\alpha)/F$  finite ext  $\Leftrightarrow \alpha$  alg/ $F$  and  $K/F$  finite extension  
 $\Rightarrow$  extension algebraic

Cor. of Tower Law:  $F \subseteq K \subseteq L$  fields,  $[K:F] \mid [L:F]$

Is  $\sqrt{5}$  in  $\mathbb{Q}(\sqrt[3]{5})$ ?

$[\mathbb{Q}(\sqrt[3]{5}) : \mathbb{Q}] = 3$  because min poly is  $x^3 - 5$

$[\mathbb{Q}(\sqrt{5}) : \mathbb{Q}] = 2$  because min poly is  $x^2 - 5$  but  $2 \nmid 3$  so no.

Is  $x^3 - \sqrt{2}$  irred over  $\mathbb{Q}(\sqrt{2})$ ? deg 6

But  $\sqrt[6]{2}$  is deg 6 over  $\mathbb{Q}$  and  $\mathbb{Q} \subset \mathbb{Q}(\sqrt{2}) \subset \mathbb{Q}(\sqrt[6]{2})$  so  $\sqrt[6]{2}$  must have min poly deg 3, so it must be min poly.

Def:  $K/F$  is finitely generated if  $K = F(\alpha_1, \dots, \alpha_n)$  where  $n \in \mathbb{N}$ .

Fact:  $F(\alpha, \beta) = (F(\alpha))(\beta)$ ,  $\geq$  by min prop of  $(F(\alpha))(\beta)$  and  
 $\leq$  by min prop of  $F(\alpha, \beta)$

Thm:  $K/F$  finite  $\Leftrightarrow K = F(\alpha_1, \alpha_2, \dots, \alpha_k)$  and  $\alpha_i$  alg/ $F$ . If  $\alpha_1, \dots, \alpha_k$  have degs  $n_1, \dots, n_k$  then  $F(\alpha_1, \dots, \alpha_k)/F$  has degree  $\leq n_1 n_2 \dots n_k$



Proof:  $(\Rightarrow)$   $K/F$  finite  $\Rightarrow$  let  $\alpha_1, \dots, \alpha_n$  be vector space basis for  $K/F$ . Then  $[F(\alpha_i):F] \leq [K:F]$  so its finite, hence  $\alpha_i$  algebraic.  
 So  $K = F(\alpha_1, \dots, \alpha_n)$  [Ex:  $1, 2^{1/3}, 2^{2/3}$  basis for  $\mathbb{Q}(2^{1/3})$ ] ( $\alpha_1, \dots, \alpha_n$  may be more than needed)  
 $(\Leftarrow)$  Assume  $K = F(\alpha_1, \dots, \alpha_n)$ . Let  $F_i = F(\alpha_1, \dots, \alpha_i)$  so  
 $F \subseteq F_1 \subseteq F_2 \subseteq \dots \subseteq F_{n-1} \subseteq K$  and  $[K:F] = [K:F_{n-1}][F_{n-1}:F_{n-2}] \dots [F_1:F]$   
 $\leq n_k \quad n_{k-1} \quad n_1$

because  $F_i/F_{i-1}$  has deg at most  $n_i$  b/c  $\alpha_i$  alg/F  $\Rightarrow$  alg/ $F_{i-1}$   
 and  $m_{\alpha_i, F} | m_{\alpha_i, F_{i-1}}$ .

Ex:  $[\mathbb{Q}(i, 2i) = \mathbb{Q}] \leq 4$  (but it's really 2)  
 $x^2+1 \quad \uparrow \text{deg } 2 \quad \uparrow \text{deg } 2 \quad x^2+4$

Ex:  $[\mathbb{Q}(\sqrt{3}, \sqrt{5}) = \mathbb{Q}] \leq 4$   
 $x^2-3 \quad \uparrow \text{deg } 2 \quad \uparrow \text{deg } 2 \quad x^2-5$

Cor:  $\alpha, \beta$  alg/F  $\Rightarrow \alpha \pm \beta, \alpha\beta, \alpha/\beta$  alg/F (for  $\beta \neq 0$  in  $\alpha/\beta$ )

Proof: all are elements of  $F(\alpha, \beta)$ , which is finite ext so  $F(\alpha, \beta)$  alg ext of  $F$  since  $\alpha, \beta$  algebraic.

Cor: All alg elements of  $L/F$  form subfield of  $L$ .

Ex:  $\overline{\mathbb{Q}} = \{\text{alg elts of } \mathbb{R}/\mathbb{Q}\}$  is algebraic extension but not finite.  $\overline{\mathbb{Q}}$  countable,  $\mathbb{R}$  not so  $\exists$  transcendentals

Def:  $K_1, K_2$  subfield of  $K$ . Let  $K_1 K_2$  be the composite field, the smallest field containing both.

Prop: For finite extensions  $[K_1 K_2:F] \leq [K_1:F][K_2:F]$  (= when basis for one is indep over the other)

Proof:  $\alpha_i, \beta_j$  bases for  $K_1, K_2 \Rightarrow \alpha_i \beta_j$  span  $K_1 K_2/F$



So  $\begin{array}{ccc} \xrightarrow{\leq m} & K_1, K_2 & \xleftarrow{\leq n} \\ & \searrow & \swarrow \\ & F & \end{array}$  Note: If  $m, n$  rel prime then must have equality.

Def: Ets of  $\mathbb{R}$  are constructable if length possible with straightedge and compass. (call it  $K_{\text{con}}$ )

We saw  $a, b \in K \Rightarrow a+b, ab, \sqrt{b} \in K$  so  $K$  field.  $K$  contains  $\mathbb{Q}$  since  $1 \in K$ , but also more.  $x, y \in K \Rightarrow (x, y)$  is constructable in  $\mathbb{R}^2$ .

Operations: ① intersect lines  
② intersect line + circle  
③ intersect circles

Let  $F_0 = \mathbb{Q}$  and  $F_k =$  constructable #'s using ①, ②, ③ in sequence of  $k$  operations on  $(x, y)$ ,  $x, y \in F_0$  so  $K_{\text{con}} = \bigcup_{k=0}^{\infty} F_k$  and  $F_0 \subseteq F_1 \subseteq F_2 \subseteq \dots$

- ①  $\cap$  (lines): solve  $ax+by+c=0, dx+ey+f=0, a, \dots, f \in F_k \Rightarrow x, y \in F_k$   
 ② line  $\cap$  circle: solve  $ax+by+c=0, (x-d)^2+(y-e)^2=f^2, a, \dots, f \in F_k \Rightarrow x, y$  at worst in quadratic extension (adjoining with deg 2 elt) of  $F_k$   
 ③  $\cap$  (circles): similar to ②

Notice  $[F_k:F_{k-1}] = 1$  or  $2$  so  $\text{deg } [F_k:F_0] = \text{power of } 2 \Rightarrow$  any  $\alpha \in K_{\text{con}}$  is in some  $F_k$  so  $\text{deg } \alpha \mid [F_k:F_0]$  so  $\text{deg } \alpha = \text{power of } 2$

① doubling cube (volume)  $\leftrightarrow$  construct  $\sqrt[3]{2}$  (deg 3) so impossible

② trisecting angle  $\leftrightarrow$  given  $\cos \theta$  construct  $\cos \frac{\theta}{3}$  (Note:  $\cos \theta$  constr  $\leftrightarrow$  angle  $\theta$  constructable) But  $\cos \theta = 4\cos^3(\frac{\theta}{3}) - 3\cos(\frac{\theta}{3})$ . If  $\theta = 60^\circ, \alpha = \cos 20^\circ$  satisfies  $\frac{1}{2} = 4\alpha^3 - 3\alpha$  or  $8\alpha^3 - 6\alpha - 1 = 0$ . If  $\gamma = 2\alpha, \gamma^3 - 3\gamma - 1 = 0$  (irred) then  $[\mathbb{Q}(\gamma) : \mathbb{Q}] = 3$  (Note: with ruler & compass, possible!)



III Squaring the circle (given  $O$ , make  $\square$  same area)  $\leftrightarrow$   $\pi$  constr.  
FACT:  $[\mathbb{Q}(\pi) : \mathbb{Q}] = \infty$ , not algebraic!

### Splitting Fields

If  $f(x) \in F[x]$ , we've seen  $\exists$  field  $K/F$  in which  $f$  has a root.

Question: Is there field in which all roots live?

Ex:  $x^3 - 5 \in \mathbb{Q}[x]$  has root  $\sqrt[3]{5} \in \mathbb{Q}(\sqrt[3]{5})$  but this does not contain other complex roots.

Def:  $K/F$  is splitting field for  $f(x) \in F[x]$  if  $f(x)$  factors ("splits") into linear factors in  $K[x]$  & does not split in any subfield of  $K$  containing  $F$  ("smallest extension over which  $f$  splits,  $K$  has all roots of  $f$ ")

Ex: splitting field of  $x^3 - 5$  over  $\mathbb{Q}$ ?

other roots  $\sqrt[3]{5} \left( \frac{-1 \pm i\sqrt{3}}{2} \right)$   $\mathbb{Q}(\sqrt[3]{5}, \sqrt{3}i)$  (degree/ $\mathbb{Q}$  is  $6 = 3!$ )

Ex:  $f(x) = x^6 - 1$  in  $\mathbb{Q}[x]$ . Find splitting field.

$f(x) = (x-1)(x^2+x+1)(x+1)(x^2-x+1)$ . If  $\omega$  is root of  $x^2+x+1$  then  $f(x) = (x-1)(x-\omega)(x-\omega^2)(x+1)(x+\omega)(x+\omega^2)$  so  $\mathbb{Q}(\omega)$  is splitting field/ $\mathbb{Q}$ .  $[\mathbb{Q}(\omega) : \mathbb{Q}] = 2$ .

Ex:  $f(x) = x^6 + 1$  in  $\mathbb{Q}[x]$ .

roots in  $\mathbb{C}$ ,  $i, i\omega, i\omega^2, -i, -i\omega, -i\omega^2$  so  $\mathbb{Q}(i, \omega)$  is splitting field/ $\mathbb{Q}$  for  $f$ .  $[\mathbb{Q}(i, \omega) : \mathbb{Q}] = 4$

Thm: Any  $f(x) \in F[x]$  has splitting field  $K/F$  for  $f$  with  $[K:F] \leq (\deg f)!$

Proof: Induction on  $n = \deg f$ . Base case  $n=0$  or  $1$ , take  $K=F$ . If  $n > 1$ : if  $f$  splits in  $F$ , let  $K=F$ . Else, say  $p(x)$  is irred factor,  $\deg p \geq 2$ . Recall  $\exists$  extension  $L$  in which  $p(x)$  has root. Over this  $L$ ,  $f(x) = (x-\alpha)h(x)$  ( $h$  has  $\deg n-1$ ). By IHOP,  $\exists M/L$  splitting field for  $h$ ,  $\deg \leq (n-1)!$ . Take  $K=M$ . See  $[M:F] = [M:L][L:F] \leq n!$



Are splitting fields unique?

Thm: Given  $\varphi: F \xrightarrow{\sim} F'$  field isomorphism. Say  $f(x) \in F[x]$ . Let  $f'(x) \in F'[x]$  be corr. poly  $\varphi_*(f(x))$ . If  $E$  is splitting field for  $f$  over  $F$  &  $E'$  splitting field for  $f'$  over  $F'$ , then  $\varphi$  extends to isom  $\hat{\varphi}: E \xrightarrow{\sim} E'$ .

Proof idea: Recall if  $\alpha$  root of irred  $f$ ,  $\alpha'$  root of corr.  $f'$ , then  $\varphi: F \xrightarrow{\sim} F'$  extends to  $\hat{\varphi}: F(\alpha) \rightarrow F'(\alpha')$ . We induct on deg  $f$  & use this. Factor  $f, f'$  into irreeds, say  $\alpha, \alpha'$  roots of corr irred factors of  $f, f'$ . Write  $f(x) = (x-\alpha)f_1(x)$  in  $F(\alpha)$ ,  $f'(x) = (x-\alpha')f'_1(x)$  in  $F'(\alpha')$ .  $E$  is a splitting field for  $f_1$  over  $F(\alpha)$  and  $E'$  split. field for  $f'_1$  over  $F'(\alpha')$ . Because  $f_1$  splits in  $E$ , but if it split in smaller field, so would  $f$ . By ind. hyp,  $E \cong E'$  via some  $\hat{\varphi}$ .

Cor: Using  $\varphi = \text{id}$ , any 2 splitting fields for  $f(x) \in F[x]$  are isomorphic.

Is there an extension of  $F$  over which any poly splits?  
Some sort of maximal algebraic extension.

Def: Let  $F$  be field.  $\bar{F}$  is an algebraic closure of  $F$  if

- ①  $\bar{F}$  is alg/ $F$
  - ② every  $f(x) \in F[x]$  splits completely in  $\bar{F}$
- ( $\bar{F}$  contains all alg elts of  $F$ )

Ex:  $\mathbb{C}$  is ~~not~~ an alg closure for  $\mathbb{Q}$  (doesn't satisfy ①)

Def:  $K$  is algebraically closed if every poly  $f(x) \in K[x]$  has a root in  $K$



$K$  alg closed  $\Leftrightarrow \bar{K} = K$

Why? b/c all alg elts/ $K$  live in  $K$

Thm:  $\bar{F}$  is alg closure of  $F \Rightarrow \bar{F}$  is alg closed

Proof: Say  $f \in \bar{F}[x]$  has root  $\alpha$ . Wts  $\alpha \in \bar{F}$ . Then  $\bar{F}(\alpha)$  is alg ext /  $\bar{F}$ . But  $\bar{F}$  alg /  $F$  so  $\bar{F}(\alpha)$  alg /  $F \Rightarrow \alpha$  alg /  $F \Rightarrow \alpha \in \bar{F}$ . So  $\bar{F}$  alg closed.

Thm: For any field  $F$ ,  $\exists K$  alg closed &  $K \supseteq F$ .

Proof:  $\forall$  non-constant monic poly  $f \in F[x]$ , let  $x_f$  be an indeterminate. Consider  $F[\dots, x_f, \dots]$ , a union of poly rings in finite # vars, gen by  $x_f$  vars. Let  $I =$  ideal gen by polys  $= f(x_f)$ . Say  $\alpha(x) = \pi x^2 - 2x$ ,  $\alpha(x_\alpha) = \pi x_\alpha^2 - 2x_\alpha$ . Claim,  $I$  is proper. Pf claim: If not,  $\exists$  relation in  $F[\dots, x_f, \dots] = g_1 f_1(x_{f_1}) + \dots + g_n f_n(x_{f_n}) = 1$ ,  $g_i \in F[\dots, x_f, \dots]$  and  $g_i$  involve only finitely many vars.  $\exists$  finite extension  $F'/F$  st each  $f_i$  has root  $\alpha_i$  in  $F'/F$ . Then set  $x_{f_i} = \alpha_i$  and all other indets = 0, get  $0 = 1$  contradiction. Claim:  $I \subseteq$  some  $M$  max'd ideal (Zorn's lemma). Let  $K_1 = F[\dots, x_f, \dots]/M$  all polys  $f$  in  $F[x]$  have root in  $K_1$ . Let  $K_2 =$  some construction using  $K_1$  so all polys in  $K_1[x]$  have root in  $K_2$ .  $F \subseteq K_1 \subseteq K_2 \subseteq \dots$ . Take  $K = \bigcup_{j=1}^{\infty} K_j$  is field, any poly in  $K[x]$  is in some  $K_j[x]$ , has root in  $K_{j+1}[x] \subseteq K[x]$  so  $K$  is algebraically closed.

Thm:  $K$  alg closed,  $F \subseteq K \Rightarrow \exists$  collection  $\bar{F}$  of alg elts /  $F$  & this alg closure of  $F$



## Separable Extensions

Recall: Given any field  $F$ ,  $\exists K$  alg closed &  $K \supseteq F$ .

Thm: If  $K$  alg closed,  $F \subseteq K$  then  $\bar{F}$ , the collection of algebraic elts/ $F$  is an algebraic closure of  $F$ .

Pf:  $\bar{F}$  is alg/ $F$  by def'n & any poly  $f(x)$  in  $F[x]$  splits completely in  $K$ , into factors like  $(x-\alpha)$ . But each root  $\alpha$  is alg/ $F$  so  $\alpha \in \bar{F}$ .

Fact: Alg closures are unique up to isomorphism.

Pf idea: Follows from uniqueness of splitting fields

Ex:  $\mathbb{Q} \subseteq \mathbb{C}$  alg closed  $\Rightarrow \bar{\mathbb{Q}}$  is alg closure of  $\mathbb{Q}$

Def:  $f(x) \in F[x]$  is separable if all its roots are distinct in its splitting field (else inseparable)

Ex: in  $\mathbb{Q}[x]$ :  $x^2-5$  is sep'ble (roots in  $\mathbb{Q}(\sqrt{5})$ )

$x^2+1$  sep'ble (roots in  $\mathbb{Q}(i)$ )

$x^2-2x+1$  insep'ble  $(x-1)^2$

in  $\mathbb{F}_2[x]$ :  $x^2+1$  insep'ble  $(x+1)^2$

Given  $f(x) \in F[x]$ , define  $D_x f(x) \in F[x]$  to be "usual derivative wrt  $x$ ": if  $f(x) = a_n x^n + \dots + a_0$  define  $D_x f(x) = n a_n x^{n-1} + \dots + a_1$ . (verify sum, product rules hold)

Ex:  $f(x) = x^2+1 \Rightarrow D_x f(x) = 2x$

Thm:  $f(x)$  has a repeated root  $\alpha$  (in its splitting field)  $\Leftrightarrow \alpha$  is a root of  $f$  and  $D_x f$ .

Proof: idea: product rule



This means  $f, D_x f$  divisible by  $m_{\alpha, F}$

Ex:  $f$  has root  $i$  in  $\mathbb{Q}(i)$ , but  $D_x f(i) \neq 0$  where  $f = x^2 + 1$   
 $f$  has root  $1$  in  $\mathbb{F}_2$  and  $D_x f(1) = 2 \cdot 1 = 0$  in  $\mathbb{F}_2$

Cor: Every irred poly over characteristic 0 field  $F$  is separable. Any poly/ $F$  is separable  $\Leftrightarrow$  it's product of distinct irreducibles

Pf: If  $p(x)$  irred in  $F[x]$ , deg  $n$ . Then  $D_x p(x)$  has lower degree  $n-1$  (bc char 0). Any root  $\alpha$  of  $p(x)$  has min poly  $p(x)$ , since  $p(x)$  smallest poly w/  $\alpha$  root. But  $p(x) \nmid D_x p(x)$  of deg  $n-1$ . Second claim: note distinct irreducibles can't have common roots (if both had root  $\alpha$ , then  $m_{\alpha, F} \mid$  both irreeds)

What about polys over field of char  $p$ ?

Above:  $p(x)$  could divide  $D_x p$  if  $D_x p(x) = 0$ . But then  $p(x)$  has terms of form  $(x^p)^k$ , i.e.,  $p(x) = q(x^p)$  a poly in  $x^p$

Fact: If  $\text{char}(F) = p$ , then  $\forall a, b \in F$ ,  $(a+b)^p = a^p + b^p$  (freshman's dream) and  $(ab)^p = a^p b^p$ . Then  $\psi(a) = a^p$  is an injective field homomorphism  $\psi: F \rightarrow F$  (the Frobenius endomorphism of  $F$ )  
If  $F$  finite then  $\psi$  is isomorphism

Cor: If finite field, char  $p$ , then every elt of  $F$  is a  $p$ -th power, called a perfect field.

Thm: Every irred poly over perfect field is separable.  
Any poly is separable  $\Leftrightarrow$  product of distinct irreeds



Pf: Say  $g(x)$  irred in  $F[x]$ . If  $g$  not sep'ble, then  $D_x g = 0$  so  $p(x) = a_m x^{pm} + a_{m-1} x^{p(m-1)} + \dots + a_1 x^p + a_0$   
 $= b_m^p x^{pm} + b_{m-1}^p x^{p(m-1)} + \dots + b_1^p x^p + b_0^p$   
 $= (b_m x^m)^p + \dots + (b_1 x)^p + b_0^p$   
 $= (b_m x^m + \dots + b_1 x + b_0)^p$

contradicts irred of  $g$

Ex: Let  $K = \mathbb{F}_p(\alpha)$ . Can show  $x^p - \alpha$  irred &  $\alpha$  is not  $p$ -th power. Let  $\delta$  be root of  $x^p - \alpha$  in its split field then  $(x - \delta)^p = x^p - \delta^p = x^p - \alpha$

Def: An extension  $K/F$  is separable (over  $F$ ) if any elt of  $K$  is a root of a separable poly in  $F[x]$  (else inseparable)

Thus, separable  $\Rightarrow$  algebraic

Cor: Any finite extension of perfect field is separable

Pf: Finite ext are alg, min poly are irred over a perfect field hence separable

Existence & Uniqueness of Finite Fields

A finite field of order  $p^k$  exists:

Consider  $f(x) = x^{p^n} - x$  over  $\mathbb{F}_p$ . If  $\alpha$  is a root in a splitting field, then  $\alpha^{p^n} = \alpha$ . If  $\alpha, \beta$  roots, then  $(\alpha + \beta)^{p^n} = \alpha^{p^n} + \beta^{p^n} = \alpha + \beta$  so  $\alpha + \beta$  also root. Also,  $(\alpha\beta)^{p^n} = \alpha^{p^n} \beta^{p^n} = \alpha\beta$  so  $\alpha\beta$  is root. Also,  $(\alpha^{-1})^{p^n} = \alpha^{-1}$ . So,  $\mathbb{F} = \{\text{roots of } x^{p^n} - x \text{ over } \mathbb{F}_p\}$  is a field, and must be a subfield of the splitting field of  $f(x)$ , so it must be the splitting field of  $f(x)$ . And  $[\mathbb{F} : \mathbb{F}_p] = n$  so has  $p^n$  elements.



Uniqueness of finite field of order  $p^n$ :

If  $K$  is field of char  $p$ ,  $[K:\mathbb{F}_p] = n$ , we will show  $K \cong \mathbb{F}_{p^n}$ .

Let  $K^\times = \{\text{nonzero elts of } K\}$ , group under  $\times$ . So  $\forall x \in K, x \neq 0$   
 $x^{p^n-1} = 1$  or  $\forall x \in K, x^{p^n} = x$ . So all  $x \in K$  are roots of  $f(x)$ . Thus,  
 $K \subseteq \mathbb{F}_{p^n}$  means  $K = \mathbb{F}_{p^n}$ , as desired.

Call this field  $\mathbb{F}_{p^n}$

Galois Theory: Given poly  $f(x) \in F[x]$ , roots live in a splitting field  $K/F$ ,  $K$  has automorphisms that fix  $F$  (automorphisms form a group  $G$ ),  $G$  permutes the roots of  $f(x)$

Ex:  $f(x) = x^2 + 1$  in  $\mathbb{Q}(i)$  and conjugation is one such auto'

field structure of  $K/F \iff$  group structure of  $G$

Galois motivation: solvability by radicals  $\iff$  solvability of  $G$

Def:  $K$  field, Any isom.  $\sigma: K \rightarrow K$  is an automorphism.  
We say  $a \mapsto \sigma a$ . Let  $\text{Aut}(K) = \{\text{all aut's of } K\}$ . Say  $\sigma$  fixes  $a$  if  $\sigma a = a$ . Say  $\sigma$  fixes subset  $F$  if  $\sigma a = a$  for  $\forall a \in F$ .

Ex:  $K = \mathbb{C}$   $\sigma: \mathbb{C} \rightarrow \mathbb{C}$  conjugation:  $a+bi \mapsto a-bi$ .  $\sigma$  fixes  $\mathbb{R}$

Prop: The set fixed by  $\sigma$  must be a field. The set fixed by a subset of  $\text{Aut}(K)$ , called  $H$ , must be a field. Called the fixed field of  $H$  in  $K$ .

Note: Any  $\sigma \in \text{Aut}(K)$  must fix the prime subfield of  $K$

Def:  $\text{Aut}(K/F) =$  autom. of  $K$  that fix  $F$



So  $\text{Aut}(K) = \text{Aut}(K/\text{prime subfield})$

Prop:  $\text{Aut}(K)$  is a group,  $\text{Aut}(K/F)$  a subgroup

So we can associate

subfield  $F$  of  $K \xrightarrow{\Gamma} \text{subgroup } \text{Aut}(K/F) \text{ of } \text{Aut}(K)$   
 the fixed field of  $H$ , a subfield of  $K \xleftarrow{\Phi} \text{subgroup } H \text{ of } \text{Aut}(K)$

Q: How do  $\Gamma, \Phi$  relate? Are they inverses?

Thm:  $\sigma \in \text{Aut}(K/F) \Rightarrow$  any poly that has  $\alpha$  as root has  $\sigma\alpha$  as root

See  $\sigma \in \text{Aut}(K/F)$  permutes the roots of irred polys. We use this idea to find  $\text{Aut}(K/F)$

Ex:  $\text{Aut}(\mathbb{Q}(i)) = ?$

Any autom. fixes  $\mathbb{Q}$ , the prime subfield. What does it do to  $i$ ?

Note  $x^2+1$  is min poly of  $i$  so autom. is determined since roots of  $x^2+1$  are permuted. Only other root of  $x^2+1$  is  $-i$  so for any  $\tau \in \text{Aut}(\mathbb{Q}(i))$  either  $\tau(i) = i$  or  $\tau(i) = -i$ . So  $\text{Aut}(\mathbb{Q}(i)) = \mathbb{Z}/2\mathbb{Z}$ .

$\text{Aut}(K)$  always fixes prime subfield of  $K$  b/c  $1 \mapsto 1$

Ex:  $K = \mathbb{Q}(\sqrt[3]{2})$ ,  $\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = ?$

Given  $\tau \in \text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})$ ,  $\tau$  must permute roots of  $x^3-2$ . But roots of  $x^3-2$  are  $\sqrt[3]{2}$  and two complex roots, so  $\tau(\sqrt[3]{2}) = \sqrt[3]{2}$  and not the complex roots (b/c not in  $\mathbb{Q}(\sqrt[3]{2})$ ). Thus,  $\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = \text{trivial group}$ . Here, we couldn't get all possible automs we expected.



$H \subseteq \Gamma \Phi(H)$  b/c field fixed by  $H$  could be fixed by more automorphisms.

$F \subseteq \Phi \Gamma(F)$  b/c automorphisms fixing  $F$  could fix a bigger field

$$\text{Ex: } K = \mathbb{Q}(\sqrt[3]{2}) \quad \mathbb{Q} \xrightarrow{\Gamma} \text{trivial group} \xrightarrow{\Phi} \mathbb{Q}(\sqrt[3]{2})$$

Not enough autom to make the image of  $\Phi$  smaller

$$\text{Ex: } K = \mathbb{Q}(i) \quad \mathbb{Q} \xrightarrow{\Gamma} \mathbb{Z}/2\mathbb{Z} \xrightarrow{\Phi} \mathbb{Q}$$

Prop:  $E$  split field of  $f(x) \in F[x]$ . Then  $|\text{Aut}(E/F)| \leq [E:F]$ .  
Equality occurs when  $f(x)$  is separable over  $F$

Proof:  $\sigma: E \xrightarrow{\sim} E'$  Given  $\varphi: F \xrightarrow{\sim} F'$ ,  $\varphi(f(x)) = f'(x)$ . We know  $\exists \sigma$  (earlier thm). How many  $\varphi: F \xrightarrow{\sim} F'$  ways can  $\sigma$  occur? We induct on  $[E:F]$ . Base case  $[E:F]=1$ , then  $\sigma = \varphi$ . If  $[E:F] > 1$ , then  $f(x)$  has irred factor  $p(x)$  w/  $\deg > 1$ . Similarly for  $f'(x)$  and  $p'(x)$ . Say  $\alpha$  is a root of  $p(x)$ . Define  $\tau: F(\alpha) \rightarrow F'(\alpha')$  by restricting  $\sigma$ . We know  $\tau$  sends roots of  $p(x)$  to roots of  $p'(x)$ . Since  $\tau(\alpha)$  determines  $\tau$ , the # of such  $\tau$  is at most  $\deg p'(x) = [F(\alpha):F]$  and equality if all roots distinct. # ways to extend  $\tau$  to  $\sigma$  is by inductive hypothesis at most  $[E:F(\alpha)]$  with equality if roots of  $f(x)$  distinct. So # ways to extend  $\varphi$  to  $\sigma$  is  $\leq [E:F]$  with equality if roots of  $f(x)$  distinct. Take  $F=F'$ ,  $\varphi = \text{id}$  for result. (more general version needed for inductive step)

Def:  $K/F$  finite ext, call  $K$  Galois over  $F$  or " $K/F$  is a Galois extension" if  $|\text{Aut}(K/F)| = [K:F]$ . If so, call  $\text{Aut}(K/F)$  the Galois group of  $K/F$ . We write  $\text{Gal}(K/F)$



Cor: If  $K$  is a splitting field of sep poly  $f(x) \in F[x]$ , then  $K/F$  is Galois. (In fact, converse is true)

Def: If  $f(x)$  sep over  $F$ , then Galois group of its splitting field is called the Galois group of the poly  $f(x)$

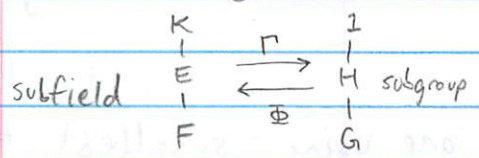
Ex:  $\mathbb{Q}(i)/\mathbb{Q}$  is Galois. b/c  $|\text{Aut}(\mathbb{Q}(i)/\mathbb{Q})| = [\mathbb{Q}(i) : \mathbb{Q}] = 2$

Ex:  $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$  is not Galois b/c  $1 \neq 3$

Ex: split field of  $x^3 - 2$  is  $\mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\omega = \frac{1}{2} + \frac{\sqrt{3}}{2}i$ , and is Galois ext. In fact, Galois group permutes roots of  $x^3 - 2$  and is isom to  $S_3$

### Fundamental Theorem of Galois Theory

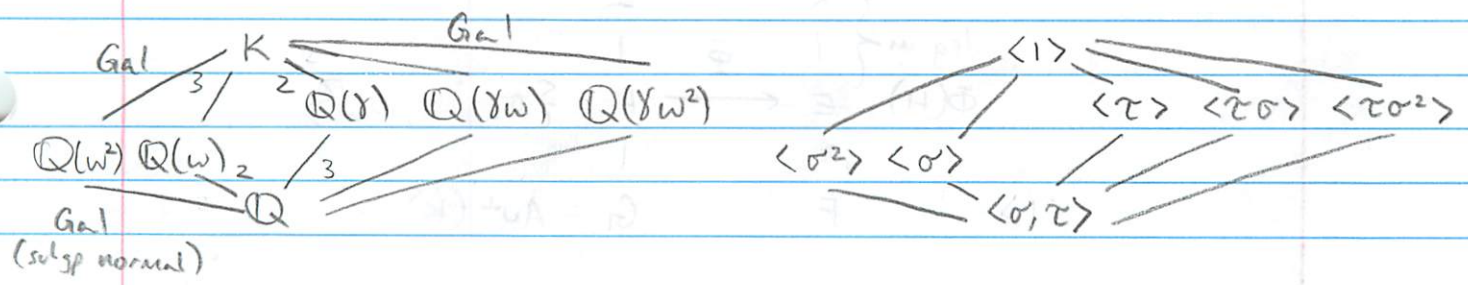
If  $K/F$  is Galois and  $G = \text{Gal}(K/F)$ , then



- ①  $\Gamma, \Phi$  are inverses
- ① both are inclusion-reversing
- ② deg of exts = index of subgps
- ③  $K/E$  Galois w/  $\text{Gal}(K/E) = H$
- ④  $E/F$  Galois  $\iff H \trianglelefteq G$ , if so  $\text{Gal}(E/F) = G/H$
- if not,  $\text{Aut}(E/F)$  is  $H$  corr. w/ cosets of  $H$  in  $G$

⑤ If  $E_1 \leftrightarrow H_1, E_2 \leftrightarrow H_2$ , then  $E_1 \cap E_2 \leftrightarrow \langle H_1, H_2 \rangle$  and  $E_1 E_2 \leftrightarrow H_1 \cap H_2$

Ex:  $K = \mathbb{Q}(\sqrt[3]{2}, \omega)$  where  $\omega = -\frac{1}{2} + \frac{\sqrt{3}}{2}i$ .  $K$  is Galois and  $\text{Gal}(K/\mathbb{Q}) \cong S_3$ , generated by  $\sigma, \tau$  where  $\sigma = \begin{cases} \gamma \mapsto \gamma\omega \\ \omega \mapsto \omega \end{cases}$   $\tau = \begin{cases} \gamma \mapsto \gamma \\ \omega \mapsto \omega^2 \end{cases}$  ( $\gamma = \sqrt[3]{2}$ )  
 $\sigma$  has order 3,  $\tau$  has order 2  
 See  $\sigma(\gamma\omega) = \gamma\omega^2$   $\tau(\gamma\omega) = \gamma\omega^2$





If  $\sigma: K \rightarrow L$  is non-trivial field homomorphism, we know  $\sigma$  is injective. So  $\exists \sigma: K^\times \rightarrow L^\times$  (nonzero elts). This is a group homomorphism (mult. as gp op)

Def:  $L$  field: A character  $\chi$  of gp  $G$  is a homom:  $\chi: G \rightarrow L^\times$ . Thus,  $\chi(g_1 g_2) = \chi(g_1) \chi(g_2)$ ,  $\forall g_1, g_2 \in G$

Ex:  $G = \mathbb{Z}/5\mathbb{Z}$   $L = \mathbb{C}$   $\chi_1(j) = e^{2\pi i j/5}$   $\chi_m(j) = e^{2\pi i m j/5}$ . These are functions on  $G$ , can talk about linear dependence.

Automorphisms of fields produce characters

Def:  $\chi_1, \dots, \chi_n$  of  $G$  are lin. indep over  $L$  if there's no nontriv relation  $\forall g \in G: a_1 \chi_1(g) + \dots + a_n \chi_n(g) = 0$ , not all  $a_i = 0$

Thm: Distinct characters of  $G$  over  $L$  must be linearly independent over  $L$

Proof: Suppose  $\exists$  rel'n, use min'l one using smallest # chars:  $a_1 \chi_1(g) + \dots + a_m \chi_m(g) = 0$ ,  $\forall g \in G$ . Then,  $a_1 \chi_1(hg) + \dots + a_m \chi_m(hg) = 0$ ,  $\forall h \in G$ . So  $\chi_1(h) \textcircled{1} - \textcircled{2} = a_2 [\chi_1(h) - \chi_2(h)] \chi_2(g) + \dots + a_m [\chi_1(h) - \chi_m(h)] \chi_m(g) = 0$  is a rel'n w/ fewer chars, a contradiction. (Choose  $h$  so one of the terms  $\neq 0$ )

Recall: If  $\sigma_1, \dots, \sigma_n$  distinct embeddings (injection into another space) of  $K \rightarrow L$  then they're linearly independent as characters

Thm: (Degree-Order) If  $H \subset \text{Aut}(K)$ , then  $[K:E] = |H|$

$$\begin{array}{ccc} & K & \mathbb{1} \\ \text{deg } m \left\{ \begin{array}{l} 1 \\ \oplus \end{array} \right. & & 1 \\ \Phi(H) = E & \longleftarrow & H = \{\sigma_1, \dots, \sigma_n\} \\ & & \mathbb{1} \\ & & G = \text{Aut}(K) \\ & F & \end{array}$$



- example of nonreparable extensions  
solvable groups

Proof: Say  $m = [K:E]$ ,  $H = \{\sigma_1, \dots, \sigma_n\}$ ,  $|H| = n$ . We want to show  $m = n$ . The idea is if  $m < n$ , then too many characters and contradict independence. Say  $w_1, \dots, w_m$  basis  $K/E$ . Seek  $x_1, \dots, x_n \in E$  st  $\forall \alpha \in K$ ,  $\sigma_1(\alpha)x_1 + \dots + \sigma_n(\alpha)x_n = 0$ . Write  $\alpha = a_1w_1 + \dots + a_mw_m$  for  $a_i \in E$ . Note  $\sigma_i(\alpha) = a_1\sigma_i(w_1) + \dots + a_m\sigma_i(w_m)$ ,  $\forall i$ . So we

①  $[a_1 \dots a_m] \begin{bmatrix} \sigma_1(w_1) & \dots & \sigma_n(w_1) \\ \vdots & \ddots & \vdots \\ \sigma_1(w_m) & \dots & \sigma_n(w_m) \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = 0 \quad \forall a_1, \dots, a_m$ . But we can find

non trivial  $x_i$  bc  $m < n$ . Idea is if  $m > n$ , then too many linearly independent elements.  $\exists \alpha_1, \dots, \alpha_{n+1}$  lin indep elts of  $K$  (over  $E$ ). Consider the equation, which has

②  $\begin{bmatrix} \sigma_1(\alpha_1) & \dots & \sigma_1(\alpha_{n+1}) \\ \vdots & \ddots & \vdots \\ \sigma_n(\alpha_1) & \dots & \sigma_n(\alpha_{n+1}) \end{bmatrix} \begin{bmatrix} x_1 \\ \vdots \\ x_{n+1} \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \\ 0 \end{bmatrix}$  no nontrivial sol'n  $x_1, \dots, x_{n+1}$  in  $K$  (b/c  $n < m$ ). Note at least one  $x_i \notin E$ , else for  $\sigma_i = \text{id}$ , we would have dep rel'n on  $\alpha_i$ 's.

Choose a sol'n with min'd # non-0  $x_i$ 's. Say  $x_1, \dots, x_r \neq 0$ . We can make  $x_r = 1$  by scaling by  $x_r^{-1}$ . Say  $x_i \in E$ , by reordering  $x$ 's. So our equation ② becomes (look above).

But  $x_i \in E$ ,  $\exists \underline{\sigma} \in H$  st  $\underline{\sigma}x_i \neq x_i$ . Then,  $\underline{\sigma}$  applied to ② yields a permutation of rows & changes  $x_i$  to  $\underline{\sigma}x_i$ . We subtract rows = ② -  $\underline{\sigma}$ ② to get  $\sigma_i(\alpha)[x_i - \underline{\sigma}x_i] + \dots + \sigma_i(\alpha_{r-1})[x_{r-1} - \underline{\sigma}x_{r-1}]$ . The  $x_r$  term disappeared because  $1-1=0$ . So we get a smaller solution.

Say  $K/F$  is Galois if  $|\text{Aut}(K/F)| = [K:F]$

Thm:  $K/F$  finite. Then  $|\text{Aut}(K/F)| \leq [K:F]$  with equality iff  $F = \Phi(\Gamma(F))$

Proof: We know  $F \subseteq \Phi(\Gamma(F)) \subseteq K$  so  $[K:\Phi(\Gamma(F))][\Phi(\Gamma(F)):F] = [K:F]$  but  $[K:\Phi(\Gamma(F))] = |\Gamma(F)| = |\text{Aut}(K/F)|$ . We know  $[\Phi(\Gamma(F)):F] \geq 1$  and it equals 1 iff  $F = \Phi(\Gamma(F))$



Thm:  $H$  finite subgp  $\subseteq \text{Aut}(K)$ . Then  $\Gamma(\Phi(H)) = H$ .  
So  $K/\Phi(H)$  is Galois.

Proof:  $[K:\Phi(H)] = |H| = |\Gamma(\Phi(H))| \leq [K:\Phi(H)]$  so  
 $[K:\Phi(H)] = |H| = |\Gamma(\Phi(H))|$  so  $H = \Gamma(\Phi(H))$  and  $K/\Phi(H)$  is Galois

Ex:  $K = \mathbb{Q}(\sqrt{2})$   $\text{Aut}(K/\mathbb{Q}) = \text{trivial}$ . Think about this.

Thm: If  $H_1 \neq H_2$  finite subgps of  $\text{Aut}(K)$ , then  $\Phi(H_1) \neq \Phi(H_2)$

Proof: If  $\Phi(H_1) = \Phi(H_2)$  then  $\Gamma(\Phi(H_1)) = \Gamma(\Phi(H_2)) \Rightarrow H_1 = H_2$  by thm

Thm:  $K/F$  Galois  $\Leftrightarrow K$  is a splitting field of a separable poly over  $F$ .  
If so, every poly in  $F[x]$  with a root in  $K$  is separable & has all its roots in  $K$

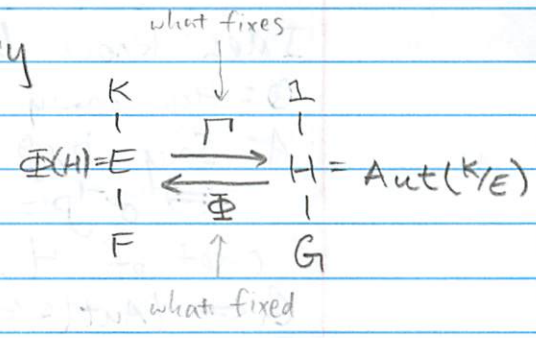
Proof: ( $\Leftarrow$ ) Already. ( $\Rightarrow$ ) If  $K/F$  Galois, say  $p(x)$  irred in  $F[x]$  with root  $\alpha \in K$ . Let  $G = \text{Gal}(K/F) = \{\sigma_1 = \text{id}, \sigma_2, \sigma_3, \dots, \sigma_n\}$ . Consider distinct elts of  $\{\alpha, \sigma_2(\alpha), \dots, \sigma_n(\alpha)\}$  or  $\{\alpha_1, \alpha_2, \dots, \alpha_r\}$  (called Galois conjugates of  $\alpha$ ). Let  $f(x) = (x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_r)$ . It is separable and fixed by  $G$  since  $G$  permutes roots, so by thm the coeffs of  $f$  must be in the fixed field of  $G$ , call it  $F = \Phi(G) = \Phi(\Gamma(K/F))$ . So  $f(x) \in F[x]$ . Moreover,  $f(x) | p(x)$  b/c if  $\alpha$  root  $p(x)$  then so is  $\sigma_i(\alpha)$ . But  $p(x) | f(x)$  b/c if  $f$  has  $\alpha$  as root, and  $p(x)$  irred is min'l poly for  $\alpha$ . So  $p(x) = f(x)$ , so  $p$  is separable and all roots in  $K$ . Moreover,  $K/F$  has basis, say  $\{w_i\}$ , with  $p_i(x)$  their min'l polys. Let  $g(x) = \prod p_i(x)$  with repeated factors removed (square-free). The splitting field  $S$  of  $g(x)$  is  $K$ , since  $S \subseteq K$  b/c  $K$  contains all roots of  $g(x)$  but  $K \subseteq S$  b/c  $w_i$  are roots of  $p_i(x)$ .



### Fundamental Theorem of Galois Theory

Suppose  $K/F$  Galois. Then =

- ①  $\Gamma, \Phi$  inverses & inclusion-reversing
- ② deg ext. = index subgps.  $[E:F] = |G| = |H|$
- ③  $K/E$  Galois &  $\text{Gal}(K/E) = H$



Proof = ③ Use previous thm.  $K/\Phi(H) = K/E$  is Galois,  $\Gamma(\Phi(H)) = H$

② Use deg-ord:  $\begin{matrix} K \\ \downarrow \\ E \end{matrix} \leftarrow \text{deg } |H| \quad \begin{matrix} K \\ \downarrow \\ F \end{matrix} \leftarrow \text{deg } |G|$

so  $[E:F] = \frac{[K:F]}{[K:E]} = |G| = |H|$

①  $K/F$  Galois  $\Rightarrow K$  is split. field of some sep  $f$  over  $F$   
 $\Rightarrow K \xrightarrow{\quad\quad\quad} E$   
 $\Rightarrow K/E$  Galois

By previous thms,  $\Phi(\Gamma(E)) = E, \Gamma(\Phi(H)) = H$  so  $\Phi, \Gamma$  inverses.

### Fundamental Theorem of Galois Theory (cont.)

- ④  $E/F$  Galois  $\Leftrightarrow H \trianglelefteq G$ . If so,  $\text{Gal}(E/F) = G/H$
- ⑤ If  $E_1 \leftrightarrow H_1, E_2 \leftrightarrow H_2$  then  $E_1 \cap E_2 \leftrightarrow \langle H_1, H_2 \rangle$  } "lattices dual"  
 $E_1 E_2 \leftrightarrow H_1 \cap H_2$

Ex:  $K = \mathbb{Q}(\gamma = \sqrt[3]{2}, \omega) \quad \omega^3 = 1$

Proof: Idea: we want to count  $\text{Aut}(E/F)$  or  $\text{Emb}(E/F)$   
 $\forall \sigma \in G \Rightarrow$  embedding  $\sigma(E) \subseteq K$ . Note if  $H$  fixes  $E$ , then  $\sigma H \sigma^{-1}$  fixes  $\sigma(E)$ . So  $\sigma(E) = E \Leftrightarrow \sigma H \sigma^{-1} = H$  by Galois correspondence. Then if above true for all  $\sigma \in G$ , then see  $\sigma \in \text{Aut}(E/F) \Leftrightarrow H$  normal. Claim: if  $\tau: E \rightarrow \bar{F}$  is an embedding  $(E/F)$  then  $\tau = \sigma|_E$  for some  $\sigma \in G$ . Claim proof: Note  $\tau(E) \subseteq K$ , b/c if  $\alpha$  is root of  $m_\alpha(x)$ , then  $\tau(\alpha)$  is a root; since  $K$  is Galois it will contain all roots.  $K$  is split. field of some  $f(x)$  (it's Galois) but also split. field of  $\tau f(x) = f(x)$  over  $\tau(E)$ . Earlier thm says can extend  $\tau$  to  $\sigma: K \rightarrow K$  & fixes  $F$  b/c  $\tau$  does so every embedding  $\tau: E \rightarrow \tau(E)$  is  $\sigma|_E$  for some  $\sigma$



Idea = know how to count upstairs

Q: How many  $\sigma$  are "lifts" of  $\tau$ ?

A: Say  $\sigma, \rho$  both restrict to  $\tau$ , so same on  $E$

$\Leftrightarrow \sigma^{-1}\rho = \text{id}$  on  $E$  so fixes  $E \Leftrightarrow \sigma^{-1}\rho \in H \Leftrightarrow \rho \in \sigma H$ , a

coset of  $H$ . So  $|\text{Emb}(E/F)| = |G:H| = [E:F]$ .  $H$  normal in  $G \Leftrightarrow |\text{Aut}(E/F)| = [E:F]$

$$\begin{array}{ccc} \textcircled{5} & K & \mathbb{1} \\ & E_1, E_2 \longrightarrow & H_1, H_2 \\ & F & G \end{array} \quad \begin{array}{c} E_1, E_2 \longleftarrow \longrightarrow H_1, H_2 \\ E_1, E_2 \longleftarrow \longrightarrow \langle H_1, H_2 \rangle \end{array}$$

- Quadratic eq'ns:  $x^2 + px + q = 0$  solved by completing the square

$$x_{1,2} = -\frac{p}{2} \pm \sqrt{\left(\frac{p}{2}\right)^2 - q}$$

Note:  $x_1 + x_2 = -p$  and  $x_1 x_2 = q$

- Cubic eq'ns:

Note  $(u+v)^3 = 3uv(u+v) + (u^3 + v^3)$  but this is  $x^3 = -px - q$

if  $x = u+v$ ,  $3uv = -p$ ,  $u^3 + v^3 = -q$ . Now find  $u$  and  $v$ .

But sum & product of  $u^3$  and  $v^3$  are known. So we

solve the quadratic  $w^2 + qw - \frac{p^3}{27} = 0$  where  $u^3$  and  $v^3$

are the two solutions  $-\frac{q}{2} \pm \sqrt{\left(\frac{q}{2}\right)^2 - \left(\frac{p}{3}\right)^3}$ . Since  $x = u+v$ ,

we have

$$x = \sqrt[3]{-\frac{q}{2} + \sqrt{\left(\frac{q}{2}\right)^2 - \left(\frac{p}{3}\right)^3}} + \sqrt[3]{-\frac{q}{2} - \sqrt{\left(\frac{q}{2}\right)^2 - \left(\frac{p}{3}\right)^3}}$$

Ex:  $x^3 + x - 6 = 0$ . See  $\frac{q}{2} = -3$  and  $\frac{p}{3} = \frac{1}{3}$  so

$$x = \sqrt[3]{3 + \sqrt{3^2 + \left(\frac{1}{3}\right)^3}} + \sqrt[3]{3 - \sqrt{3^2 + \left(\frac{1}{3}\right)^3}} \approx 1.634$$

Ex:  $y^3 - 6y - 6 = 0$ . See  $\frac{q}{2} = -3$  and  $\frac{p}{3} = -2$  so  $y = \sqrt[3]{2} + \sqrt[3]{4}$

What about  $x^3 + ax^2 + bx + d$ ? Translate  $x = y - \frac{a}{3}$  and obtain  $y^3 + py + q = 0$  where  $p = -\frac{1}{3}a^2 + b$  and  $q = \frac{2}{27}a^3 - \frac{1}{3}ab + c$

Ex:  $x^3 - 3x^2 - 3x - 1 = 0$  Use  $x = y + 1$  to obtain  $y^3 - 6y - 6 = 0$  so

$$y = \sqrt[3]{2} + \sqrt[3]{4} \quad \text{and} \quad x = 1 + \sqrt[3]{2} + \sqrt[3]{4}$$



- Quartic eq'ns:

Consider  $x^4 + px^2 + qx + r = 0$  transformed into perfect squares on both sides. We add  $2zx^2 + z^2$  to both sides,

$x^4 + 2zx^2 + z^2 = (2z-p)x^2 - qx + (z^2 - r)$  and we want to choose  $z$  such that  $2\sqrt{2z-p}\sqrt{z^2-r} = -q$  so the right side is also a perfect square. How? Solve

$(2z-p)(z^2-r) = \frac{q^2}{4}$  for  $z$ . Hence,  $z^3 - (\frac{p}{2})z^2 - (r)z + (\frac{pr}{2} - \frac{q^2}{8}) = 0$ , called the cubic resolvent. Then, sol'ns to original eq'n result from  $x^2 + z = \pm(\sqrt{2z-p}x + \sqrt{z^2-r})$ . Thus,

$$x_{1,2} = \frac{1}{2}\sqrt{2z-p} \pm \sqrt{-\frac{1}{2}z - \frac{1}{4}p + \sqrt{z^2-r}}$$

$$x_{3,4} = \frac{1}{2}\sqrt{2z-p} \pm \sqrt{-\frac{1}{2}z - \frac{1}{4}p - \sqrt{z^2-r}}$$

\* Note cube roots might appear here (in  $z$ )

A general  $x^4 + ax^3 + bx^2 + cx + d = 0$  can be reduced to the previous case by shifting it to remove the 'a' term.

$$Ex: x^4 + 6x^2 + 36 = 60x$$

We get cubic resolvent  $z^3 - 3z^2 - 36z - 342 = 0$  which reduces using  $z = y + 1$  to  $y^3 - 39y - 380 = 0$  so

$$z^3 = 1 + \sqrt[3]{190 + 3\sqrt{3767}} + \sqrt[3]{190 - 3\sqrt{3767}}$$

$F[x_1, \dots, x_n]$  = ring of poly's in  $x_1, \dots, x_n$

$F(x_1, \dots, x_n)$  = field of rational functions in  $x_1, \dots, x_n$

symmetric group  $S_n$  acts on  $F(x_1, \dots, x_n)$  by permuting  $x_i$ 's

$$Ex: (12) \text{ acts: } x_1^2 + x_2x_3 \mapsto x_2^2 + x_1x_3$$

Each  $\sigma \in S_n$  is autom. of  $F(x_1, \dots, x_n)$

Q: What is the fixed field of  $S_n$  in  $F(x_1, \dots, x_n)$ ?

Certainly includes  $F$  but includes more = all symmetric rational f'ns, a subfield  $S$

$$Ex: \frac{x_1 + x_2 + x_3}{x_1x_2x_3} \text{ in } F(x_1, x_2, x_3)$$



Q: What is  $[F(x_1, \dots, x_n) = S]$ ?  $\text{Aut}(F(x_1, \dots, x_n)/S)$ ?

Elementary Symmetric Functions (in  $x_1, \dots, x_n$ )

$$s_1 = \sum_{i=1}^n x_i, s_2 = \sum_{i < j} x_i x_j, s_3 = \sum_{i < j < k} x_i x_j x_k, \dots, s_n = x_1 x_2 \dots x_n$$

$$f(x) = (x-x_1)(x-x_2)\dots(x-x_n) = x^n - s_1 x^{n-1} + s_2 x^{n-2} - \dots + (-1)^n s_n$$

Note  $f(x)$  has coeff's in  $F(s_1, \dots, s_n)$  but splits in  $F(x_1, \dots, x_n)$  but not in a smaller field. So  $F(x_1, \dots, x_n)$  is splitting field of  $f$ . Since  $F(s_1, \dots, s_n) \subseteq S \subseteq F(x_1, \dots, x_n)$ ,  $[F(x_1, \dots, x_n) = S][S = F(s_1, \dots, s_n)] = [F(x_1, \dots, x_n) = F(s_1, \dots, s_n)] \leq n!$  (splitting field and tower law). But  $[F(x_1, \dots, x_n) = S] = |S_n| = n!$  by the degree-order theorem so  $[S = F(s_1, \dots, s_n)] = 1$  and  $F(s_1, \dots, s_n) = S$ .

Thm (Fund. Thm of Elem. Sym. F'n's)

Any symmetric rational f'n is a rational f'n in elem. sym. f'n's. (Also true for polys)

$$S_0 = \text{Gal}(F(x_1, \dots, x_n)/S) = S_n$$

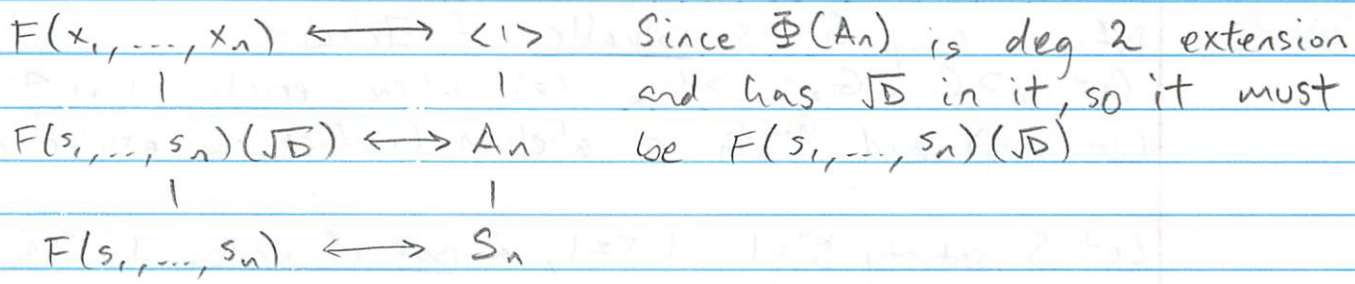
Recall the Galois group of a poly  $f(x)$  is the Galois group of its splitting field  $K$ . If  $\deg(f) = n$ , then b/c Gal gp permutes roots, can view  $\text{Gal}(K/F) \subseteq S_n$

See: The poly  $x^n - s_1 x^{n-1} + s_2 x^{n-2} - \dots + (-1)^n s_n$  is sep'ble and has Gal gp  $S_n$

Discriminant:  $D = \prod_{i < j} (x_i - x_j)^2 \in F(x_1, \dots, x_n)$ .  $D$  is symmetric so  $D \in F(s_1, \dots, s_n) = S$ . Also,  $\sqrt{D} = \prod_{i < j} (x_i - x_j)$  not symmetric if  $\text{ch}(F) \neq 2$ . But  $\sqrt{D}$  fixed by  $A_n$  (viewing Gal gp  $\subseteq S_n$ )

Thm: If  $\text{ch}(F) \neq 2$ ,  $\sigma \in A_n \iff \sigma$  fixes  $\sqrt{D}$

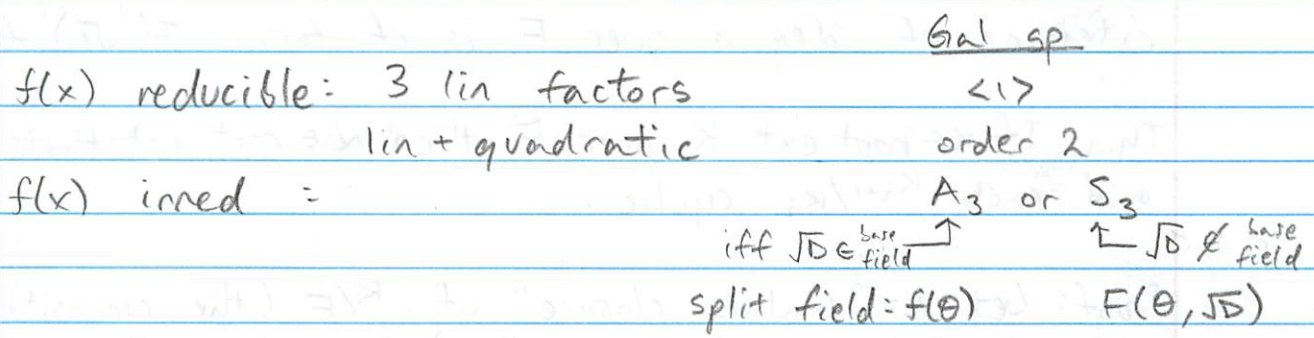




Def'n: If  $f(x)$  has roots  $\alpha_1, \dots, \alpha_n$ , define the discriminant of  $f$  to be  $D = \prod_{i < j} (\alpha_i - \alpha_j)^2$  (lives in  $K = \text{split field } f$ ). Note  $D=0 \iff f$  not sep'ble.  $D$  fixed by  $\text{Gal}(K/F)$  so by Fund Thm,  $D \in F$ .  $\text{Gal}(K/F)$  is subgroup of  $A_n \iff \sqrt{D} \in F$ .

Ex: Poly deg 2 over  $\mathbb{R}$ :  $f(x) = x^2 + px + q$  w/ roots  $\alpha, \beta$   
 so  $f(x) = (x-\alpha)(x-\beta)$ .  $D = (\alpha-\beta)^2 = s_1^2 - 4s_2 = (-p)^2 - 4q = p^2 - 4q$   
 Gal gp is  $A_2 = \langle 1 \rangle \iff \sqrt{p^2 - 4q} \in \mathbb{Q}$

Ex: deg 3 poly =  $f(x) = ax^3 + bx^2 + cx + d$  or  $g(y) = y^3 + py + q$   
 $= (x-\alpha)(x-\beta)(x-\gamma)$ . Calculate  
 $D = -[27s_3^2 + 9p(s_2^2 - 2s_1s_3) + 3p^2(s_1^2 - 2s_2) + p^3] = -4p^3 - 27q^2$   
 $= a^2b^2 - 4b^3 - 4a^3c - 27c^2 + 18abc$



Call  $f(x) \in F[x]$  solvable by radicals over  $F$  if  $\exists$  tower  $F = K_0 \subseteq K_1 \subseteq \dots \subseteq K_s = K$  (splitting field of  $f(x)$ ) such that  $K_{i+1} = K_i(\sqrt[n_i]{a_i})$  for some  $a_i \in K_i$  (adjoin a root of  $x^{n_i} - a_i$ ). Such an extension is a simple radical extension and  $K$  is a root extension



Def: A group  $G$  is solvable if  $\exists$  chain:  
 $G = G_0 > G_1 > G_2 > \dots > G_k = (e)$  where each  $G_{i+1} \trianglelefteq G_i$   
 (normality) and  $G_{i+1}/G_i$  is abelian (in fact, can assume cyclic).

Let  $\zeta$  satisfy  $\zeta^n = 1$  but  $\zeta \neq 1$ , a root of unity. If  $\sqrt[n]{a}$  is a root of  $x^n - a$ , then  $\zeta \sqrt[n]{a}$  is, too.

Prop: Say  $\text{char}(F) \nmid n$  &  $F$  contains  $n^{\text{th}}$  roots of 1. Then  $F(\sqrt[n]{a})/F$  is Galois with cyclic Gal gp (deg dividing  $n$ )

Proof:  $F(\sqrt[n]{a})$  is Galois b/c it is split. field of  $x^n - a$  (b/c  $F$  has roots of unity). Any  $\sigma \in \text{Gal}(K/F)$  sends  $\sqrt[n]{a} \mapsto \zeta_\sigma \sqrt[n]{a}$ . Check:  $\sigma \mapsto \zeta_\sigma$  is an injective homom. of gps. Why?  $\sigma\tau(\sqrt[n]{a}) = \sigma(\zeta_\tau \sqrt[n]{a}) = \sigma(\zeta_\tau) \sigma(\sqrt[n]{a}) = \zeta_\tau \zeta_\sigma \sqrt[n]{a}$  so  $\sigma\tau \mapsto \zeta_\sigma \zeta_\tau$  and  $\ker(\sigma \mapsto \zeta_\sigma) =$  all autom. fixing  $\sqrt[n]{a}$ , which is only the identity. But roots of unity are cyclic gps.

In fact, converse holds:

If  $\text{char}(F) \nmid n$  and  $F$  has roots of 1, any cyclic extension of deg  $n$  over  $F$  is of form  $F(\sqrt[n]{a})$  for some  $a \in F$

Thm: If  $\alpha \in$  root ext  $K$  over  $F$  then  $\alpha \in$  root ext that is Galois/ $F$  and each  $K_{i+1}/K_i$  cyclic.

Proof: Let  $L =$  "Galois closure" of  $K/F$  (the composite of split. fields of a basis of  $K/F$ ) then since  $\exists$  tower  $F = K_0 \subseteq K_1 \subseteq \dots \subseteq K_k = K$ , for any  $\sigma \in \text{Gal}(L/F)$ , consider  $F = \sigma K_0 \subseteq \sigma K_1 \subseteq \dots \subseteq \sigma K_k = \sigma K$ , each containment is a radical extension (gen by  $\sigma \sqrt[n_i]{a_i}$ , root of  $x^{n_i} - \sigma(a_i)$ ). We do this for each  $\sigma$ , take composite, which is  $L$ . Note: the composite of 2 root ext  $F = K_0 \subseteq K_1 \subseteq \dots \subseteq K_k = K$ ,  $F = K_0 \subseteq K'_1 \subseteq \dots \subseteq K'_k = K'$  is  $KK'$  which is also a root ext  $F = K_0 \subseteq \dots \subseteq K_k \subseteq K_k K'_1 \subseteq \dots \subseteq K_k K'_k = KK'$ .



So  $L$  is Galois/ $F$  and contains  $\alpha$ . Now adjoin to  $F$  the  $n_i^{\text{th}}$  roots of 1, for all roots  $\sqrt[n_i]{a_i}$  in rad. ext. of tower, get  $F'$ . Consider  $F'K$  is composite of 2 Gal. ext. so its Galois

$$F \subseteq \dots \subseteq F' = \underbrace{F'K_0}_{\text{each cyclic}} \subset \underbrace{F'K_1}_{\text{each cyclic (w/ roots of 1)}} \subset \dots \subset F'K_k$$

Thm:  $f(x) \in F[x]$  is solvable by radicals  $\iff$  Galois group of  $f$  is a solvable group.

Proof idea:  $(\implies)$   $f$  solv. by rad.  $\implies$  each root  $\alpha$  of  $f$  lies in a root extension  $F \subset \dots \subset K_i \subset \dots \subset K_s$  Galois/ $F$  &  $K_{i+1}/K_i$  cyclic by prev thm. Take composite of all roots: that's another root ext. of same type:  $F \subset \dots \subset L_i \subset \dots \subset L_r = L$ . Since  $L/F$  Galois, by Fund. Thm,  $G_0 \subset \dots \subset G_r = (e)$  and  $L/F_i$  is Galois with group  $G_i$ ,  $\text{Gal}(F_{i+1}/F_i) = G_i/G_{i+1}$  and is cyclic. So  $G_0 = \text{Gal}(L/F)$  is solvable. But  $G = \text{Gal}(K/F)$  where  $K = \text{split-field of } f(x)$  is a quotient group of  $G_0$  (b/c homom. image). But quotient of solvable groups are solvable.

$(\impliedby)$  If  $G$  solvable, then  $G = G_0 \supset G_1 \supset \dots \supset G_s = (e)$ . By Fund. Thm., fixed fields:  $F = K_0 \subset K_1 \subset \dots \subset K_s = K$  with  $K_{i+1}/K_i$  cyclic ext., deg  $n_i$ . Let  $F'$  be  $F$  adjoined all  $n_i^{\text{th}}$  roots of 1. Then  $F \subset F' = F'K_0 \subset F'K_1 \subset \dots \subset F'K_s = F'K$  each containment is a radical extension, so  $f$  is solvable by radicals.

Ex: The general polynomial  $f(x)$  does not have solutions in radicals for  $n \geq 5$  because the Galois group  $\text{Gal}(F(x_1, x_2, \dots, x_n)/F(s_1, \dots, s_n)) = S_n$  and  $S_5$  is not solvable.

Consider poly ring  $K[x_1, \dots, x_n]$ ; monomial  $= x_1^{\alpha_1} x_2^{\alpha_2} \dots x_n^{\alpha_n}$  w/ exponents  $\geq 0$  w/ total degree  $\alpha_1 + \alpha_2 + \dots + \alpha_n$  w/ shorthand  $x^\alpha$  where  $\alpha = (\alpha_1, \dots, \alpha_n)$ . Polynomial  $f = \sum_{\alpha} a_{\alpha} x^{\alpha}$  w/ total deg  $= \max |\alpha|$



Ex:  $f = x^2y^2 + xy^2 + x^2y + xy$   $\deg(f) = 4$

Polynomials are functions (by evaluation)

$$f: \mathbb{A}^n \rightarrow K \text{ field by } (a_1, \dots, a_n) \mapsto f(a_1, \dots, a_n)$$

The coordinate ring = ring of  $K$ -valued functions

this is the idea that connects algebra (of polys) with geometry (in  $\mathbb{A}^n$ ).

The locus of  $g(x,y) = 4x^2 + y^2 - 4$  is an ellipse (where  $g=0$ ).

Careful = say " $f=0$ " could mean two things (as poly or fn).

Thm:  $K$  infinite. Then  $f=0$  in  $K[x_1, \dots, x_n] \Leftrightarrow f: \mathbb{A}^n \rightarrow K$  is zero fn.

Cor: If  $K$  infinite,  $f, g \in K[x_1, \dots, x_n]$  then  $f=g$  as polys  $\Leftrightarrow f, g: \mathbb{A}^n \rightarrow K$  are same fn.

Ex:  $K = \text{char } 0$  field, like  $\mathbb{R}$  or  $\mathbb{C}$

Def: Given  $f_1, \dots, f_s \in K[x_1, \dots, x_n]$ , let  $Z(f_1, \dots, f_s) = \{ (a_1, \dots, a_n) \in K^n \mid f_i(a_1, \dots, a_n) = 0 \ \forall 1 \leq i \leq s \}$  called the affine algebraic set (sometimes variety but variety usually refers to irred. alg. sets)

Ex: In  $\mathbb{R}^2$ ,  $Z(x^2 + y^2 - 9)$  is circle of radius 3  
 $Z(x^2 + y^2 - 9, x - y) = \{ (3, 3), (-3, -3) \}$

Ex: Graph of rational fn  $y = \frac{x^2+1}{x}$  is an alg set  
 $Z(xy - x^2 - 1)$





Ex: In  $\mathbb{R}^n$ ,  $Z(a_{11}x_1 + \dots + a_{1n}x_n - b_1, \dots, a_{m1}x_1 + \dots + a_{mn}x_n - b_m)$  is linear alg set (sol'ns to  $A\vec{x} = \vec{b}$ )

Prop: If  $W = Z(f_1, \dots, f_s)$ ,  $V = Z(g_1, \dots, g_t)$  then  $W \cup V$  and  $W \cap V$  are also alg sets

Ideal gen by  $f_1, \dots, f_s: \langle f_1, \dots, f_s \rangle := \left\{ \sum_{i=1}^s h_i f_i \mid h_i \in K[x_1, \dots, x_n] \right\}$   
 Note if  $h \in \langle f_1, \dots, f_s \rangle$  and all  $f_i(a_1, \dots, a_n) = 0$  then  $h(a_1, \dots, a_n) = 0$

When we solve systems of eqn's  $f_1 = 0, \dots, f_s = 0$ , we are reducing this system to nicer elts in ideal

To solve a system	simpler
$f_1 = 0$	$g_1 = 0$
$\vdots$	$\vdots$
$f_s = 0$	$g_t = 0$
$Z(f_1, \dots, f_s)$	$Z(g_1, \dots, g_t)$

$\xrightarrow{\text{reduce}}$        $\xleftarrow{\text{want same}}$

Study ideals  $\langle f_1, \dots, f_s \rangle \xrightarrow{\text{simplify?}} \langle g_1, \dots, g_t \rangle$  in  $K[x_1, \dots, x_n]$

Q1) Description: Does ideal  $I$  have simple(r) gen. set?

- in  $K[x]$  every ideal is principal, so  $I = \langle f \rangle$   
 Find  $f$  using Euclidean alg. =  $f = \gcd(f_1, \dots, f_s)$
- Hilbert basis thm:  $I \subset K[x_1, \dots, x_n]$  is finitely generated

Q2) Membership: Is  $f \in \langle f_1, \dots, f_s \rangle$ ?

- in  $K[x]$ , use Euclid. alg.  $g(x) = h(x)f(x) + r(x)$  and see if  $r(x) = 0$

Recall:  $\langle x, y \rangle$  not principal so  $x, y$  gen  $\langle x, y \rangle$  & is minimal. We say  $\{x, y\}$  is a basis for ideal since it generates  $\langle x, y \rangle$ . A reduced basis is minimal.

Note: an ideal can have many bases =

$$\begin{aligned} &\langle x, y, x+y \rangle \\ &\langle x, x+y \rangle \leftarrow \text{reduced bases} \\ &\langle x+x^2, x^2, y \rangle \leftarrow \end{aligned}$$

Monomial orders

In Euc. alg., we ordered terms  $f = 3x^2 - 4x + 2$   
we had order on monomials (the degree)  $x^2 > x > 1 \stackrel{\uparrow}{\text{LT}}(f)$  leading term

How to order monomials in  $K[x_1, \dots, x_n]$ ?

A) Lots of ways

- Lexicographic order
- Graded Lex order = total deg first, break ties w/ lex order
- Grevlex order = graded reverse lex order
  - $x^5 y z^2 > x^4 y z^3$

Def: monomial order is a rel'n  $>$  on  $\mathbb{Z}_{\geq 0}^n$  (exponent vector)

- ①  $>$  total order on  $\mathbb{Z}_{\geq 0}^n$
- ②  $\alpha > \beta, \gamma \in \mathbb{Z}_{\geq 0}^n \Rightarrow \alpha + \gamma > \beta + \gamma$
- ③  $>$  well-ordering on  $\mathbb{Z}_{\geq 0}^n$

Def'n: multidegree of  $f$  is  $\delta(f) = \max \{ \alpha = \text{coeff}(x^\alpha) \neq 0 \}$

Lemma:  $\delta(fg) \stackrel{?}{=} \delta(f) + \delta(g)$   
 $f+g \neq 0 \quad \delta(f+g) \stackrel{?}{\leq} \max(\delta(f), \delta(g))$

Division Algorithm in  $K[x_1, \dots, x_n]$

Given  $f$  and  $f_1, \dots, f_s$  we want  $f = a_1 f_1 + \dots + a_s f_s + r$

Idea: Cancel  $\text{LT}(f)$  by mult  $f_i$  by something & subtract

Q) If  $r=0$ , clearly  $f \in \langle f_1, \dots, f_s \rangle$  but converse false

Amazing: If  $f_1, \dots, f_s$  is a Groebner basis for  $\langle f_1, \dots, f_s \rangle$  then  $r \neq 0 \Rightarrow f \notin \langle f_1, \dots, f_s \rangle$



Ex:  $f = x^2y + y$   $f_1 = xy + 2$ ,  $f_2 = x + 1$  Use lex order  
 $a_1: x$   
 $a_2: -2$

$$\begin{array}{r} f_1: xy+2 \\ f_2: x+1 \\ \hline x^2y+y \\ \underline{x^2y+2x} \\ -2x+y \\ \underline{-2x-2} \\ y+2 \end{array}$$

Does order of  $f_1, f_2$  matter?  
 Unfortunately, yes.

Def'n:  $I \subset K[x_1, \dots, x_n]$  a nonzero ideal. Let  $LT(I) :=$  leading terms of polys in  $I$  and  $\langle LT(I) \rangle :=$  ideal gen by  $LT(I)$

Ex:  $I = \langle f_1 = x^2y + x, f_2 = x^3 - 1 \rangle$   $LT(I)$  includes:  $x^3, x^2y$  but also  $x^2$  (since  $xf_1 - yf_2 = x^2 - y$ ). Note  $LT(I) \neq \langle LT(f_1), LT(f_2) \rangle$  but we have inclusion  $\langle LT(f_1), LT(f_2) \rangle \subseteq LT(I)$

Def'n: A Groebner basis of  $I$  is a subset  $G = \{g_1, \dots, g_t\} \subseteq I$  s.t.  $\langle LT(I) \rangle = \langle LT(g_1), \dots, LT(g_t) \rangle$

Equivalently,  $G$  is a Groebner basis of  $I \iff \forall f \in I, LT(f)$  divisible by some  $LT(g_i)$

Thm:  $G$  is GB for  $I, f \in K[x_1, \dots, x_n]$ .  $\exists$  unique  $r \in K[x_1, \dots, x_n]$  st. ①  $\exists g \in I$  st.  $f = g + r$   
 ② no term of  $r$  divisible by any  $LT(g_i)$

Proof:  $f = a_1g_1 + \dots + a_tg_t + r$  satisfies ① and ② using division algorithm. Now suppose  $f = g' + r_1 = g'' + r_2$ . Then  $r_2 - r_1 = g' - g'' \in I$  so  $LT(r_2 - r_1) \in LT(I)$  hence divisible by some  $LT(g_i)$ . But this is impossible unless it is 0 thus  $r_1 = r_2$ .

Cor:  $f \in I \iff r = 0$

Pf: ( $\Leftarrow$ ) easy  
 ( $\Rightarrow$ )  $f = f + 0$  works



Cor: In div. alg.  $r$  does not depend on the order of listing  $\{g_1, \dots, g_t\}$  if it's a GB. However, coeffs  $a_i$  may depend on listed order.

Thm: Fix monomial order, every nonzero ideal  $I \subseteq K[x_1, \dots, x_n]$  has a Groebner basis.

Q) How to test if given  $G$  is GB?

Q) How to find a Groebner basis?

One way  $G$  can fail to be GB is if  $ax^\alpha g_i - bx^\beta g_j$  cancels LT's then  $LT(\text{this})$  is in  $\langle LT(I) \rangle$  but may not be in  $\langle LT(g_1), \dots, LT(g_t) \rangle$

Defn: Given  $f, g \in K[x_1, \dots, x_n]$ , let  $M = \text{monic LCM}\{LT(f), LT(g)\}$  and let  $S(f, g) = \frac{M}{LT(f)} f - \frac{M}{LT(g)} g$  be the "S-poly" of  $f$  and  $g$

Thm: (Buchberger's Criterion) A basis  $G = \{g_1, \dots, g_t\}$  of  $I$  is a GB iff  $\forall i \neq j$  the remainder of  $S(g_i, g_j)$  divided by  $G$  is 0.

Buchberger's Algorithm: (Generalization of Gaussian elimination)

- Input:  $G = \{g_1, \dots, g_t\}$ . Set  $G' = G$

- Check every pair, compute  $S(g_i, g_j)$ , take remainder mod  $G$  if remainder  $r$  nonzero, let  $G = G \cup \{r\}$ .

- Repeat until  $G = G'$

(This algorithm terminates b/c  $K[x_1, \dots, x_n]$  is Noetherian)

Lex order important for "elimination ideals", nice for solving poly eq'ns

GB are not unique, but a reduced GB is!



Def: A reduced GB  $G$  is a GB st

① polys in  $G$  are monic

②  $\forall p \in G$ , no monomial of  $p$  lies in  $\langle LT(G - \{p\}) \rangle$

Ex: Lin system

$$f_1 = 3x - 6y - 2z = 0$$

$$f_2 = 2x - 4y + 4w = 0$$

$$f_3 = x - 2y - z - w = 0$$

$$I = \langle f_1, f_2, f_3 \rangle = \langle g_1, g_2 \rangle \text{ reduced}$$

$$= \langle x - 2y - z - w, z + 3w \rangle \text{ min'l}$$

$$= \langle x - 2y + 2w, z + 3w \rangle \text{ reduced}$$

(RREF for lin. alg.)